



# **LOS ANGELES UNIFIED SCHOOL DISTRICT**

## **CHARTER SCHOOLS DIVISION**

### **ANNUAL PERFORMANCE-BASED OVERSIGHT VISIT REPORT\***

### **2022-2023 SCHOOL YEAR**

### **FOR**

**OCEAN CHARTER SCHOOL - 5962**

Name and Location Code of Charter School

#### **LAUSD Vision**

L.A. Unified will be a progressive global leader in education, providing a dynamic and inspiring learning experience where all students graduate ready for success.

#### **CSD Mission**

The LAUSD Charter Schools Division (CSD) fosters high quality educational opportunities and outcomes for students in the greater Los Angeles community through exemplary charter public school authorizing, oversight, and sharing of promising practices so that all students maximize their potential.

#### **CSD Core Values**

We believe that our success depends on:

- Making decisions that put the interests of students first.
- Serving with high expectations, integrity, professionalism, and commitment.
- Employing authentic, responsive, and effective leadership and teamwork.
- Continuously learning as a dynamic organization.
- Building and sustaining a healthy workplace culture where high performance, diversity, and creativity thrive.
- Developing productive relationships with our charter schools and all stakeholders.

\* Charter School shall comply in a timely manner with all applicable federal and state laws and regulations, as they may change from time to time, including but not limited to matters related to the school's governance, programs, facilities, operations, and/or fiscal management. Elements of the oversight process may be modified as LAUSD continues to respond to the COVID-19 pandemic. To support the well-being of all at a school site, all are expected to follow applicable COVID-19 public health guidelines, including related District requirements for operations at District facilities. The assigned CSD Administrator will provide any updated information reach out with more details, as needed.



<b>Charter School Name:</b> Ocean Charter School		<b>Location Code:</b> 5962	
<b>Current Address:</b> 12870 Panama St.		<b>City:</b> Los Angeles	<b>ZIP Code:</b> 90066
		<b>Phone:</b> (310) 827-5511	<b>Fax:</b> (310) 827-2012
<b>Current Term of Charter<sup>1</sup>:</b> July 1, 2018 to June 30, 2025		<b>LAUSD Board District:</b> 4	<b>LAUSD Region:</b> West
<b>Number of Students Currently Enrolled:</b> 566	<b>Enrollment Capacity Per Charter:</b> 532	<b>Number Above/Below Enrollment Capacity (day of visit):</b> +34	
<b>Grades Currently Served:</b> TK-8	<b>Grades To Be Served Per Charter:</b> TK-8	<b>Percent Above/Below Enrollment Capacity (day of visit):</b> +6.4%	
<b>Norm Enrollment Number:</b> 552			
<b>Total Number of Staff Members:</b> 97	<b>Certificated:</b> 30	<b>Classified:</b> 67	
<b>Charter School's Leadership Team Members:</b>	Kristy Mack Fett, Executive Director; Stephanie Edwards, Executive Director		
<b>Charter School's Contact for Special Education:</b>	Kristy Mack Fett, Executive Director; Renee Williams, APEIS (LAUSD)	<b>SELPA &amp; Option:</b>	LAUSD Option 1
<b>CSD Assigned Administrator:</b>	Katrina Blackwell, CSD	<b>CSD Fiscal Services Manager:</b>	Andrea Ines, CSD
<b>Other School/CSD Team Members:</b>	Yolanda Jordan, CSD		
<b>Oversight Visit Date(s):</b>	1/26/23	<b>Fiscal Review Date (if different):</b>	N/A
<b>Is school located on a District facility? If so, please indicate the applicable program (e.g. Prop 39, PSC, conversion, etc.):</b>	Yes, lease/charter augmentation grant	<b>LAUSD Co-Location Campus(es) (if applicable):</b>	Panama Street Site
<b>Certificate of Occupancy (COO) or Temporary Certificate of Occupancy Type: (if a TCO, please note expiration date)</b>	N/A	<b>COO/TCO Approved Grade Levels and Occupancy Loads:</b>	N/A

SUMMARY OF RATINGS			
<i>(4)=Accomplished (3)=Proficient (2)=Developing (1)=Unsatisfactory</i>			
Governance	Student Achievement and Educational Performance	Organizational Management, Programs, and Operations	Fiscal Operations
4, Accomplished	3, Proficient	4, Accomplished	3, Proficient

<sup>1</sup> AB 130 added Section 47607.4 to the California Education Code (Ed. Code), which extends the term of all charter schools whose term expires on or between January 1, 2022, and June 30, 2025, inclusive, by two years.



**CHARTER RENEWAL CRITERIA**

In accordance with Education Code §§ 47605, 47607, and 47607.2, in order to renew a charter, the District must determine whether the charter school has met the statutory requirements.

**REPORT GUIDE**

LAUSD’s oversight procedures are intended to balance a charter school’s autonomy of operation with its accountability to the public. LAUSD utilizes a holistic, performance-based approach to evaluate all charter schools, guided principally by making decisions in the best interest of students. The CSD observes and monitors each charter school in accordance with applicable laws, regulations, LAUSD policy, memoranda of understanding, and the school’s operative charter. Information gathered through oversight serves as part of the charter school’s ongoing record for the District to make informed decisions about charter school authorization, renewal, material revisions, sharing of promising practices, and if need be, revocation. While LAUSD is responsible to provide oversight of its charter schools and the entities managing charter schools, the primary oversight of each charter school must first and foremost be performed by the charter school’s own Governing Board. The Governing Board of a charter school has an ongoing responsibility to oversee the operations of its charter school(s), ensuring that every charter school it oversees is providing a high-quality educational program for students enrolled, is successfully fulfilling the terms of their charter, is fiscally sound, and complies with applicable laws, regulations, and court orders. In designing this document, the District has considered California charter school law, as well as the *LAUSD Policy and Procedures for Charter Schools*, California State Board of Education’s criteria for evaluating charter schools, and the National Association of Charter School Authorizers’ *Principles and Standards of Quality Authorizing*. This reporting tool provides guidelines and criteria used by the CSD to observe, record, assess, and reflect with the charter school on school performance as captured during the annual oversight visit process in these four categories:

**Governance** – demonstrating fulfillment of the Governing Board’s fiduciary responsibility to effectively direct and provide oversight for the charter public school, including but not limited to enactment and monitoring of policies and procedures to ensure the school’s full compliance with applicable law, policy, and the terms of the charter approved by the LAUSD Board of Education

**Student Achievement and Educational Performance** – demonstrating positive academic achievement and growth for all students

**Organizational Management, Programs, and Operations** – demonstrating effective leadership and implementation of the governing board’s policies and procedures, as well as the school’s educational program and systems and procedures for the day-to-day operations of the school

**Fiscal Operations** – demonstrating sound fiscal management, appropriate use of public funds, and compliance with regulatory requirements

This report, including the ratings in each category, is based on information and evidence gathered at the time of the annual oversight visit. The CSD considers evidence provided through CSD staff observations, document review, interviews, and discussion with school representatives and stakeholders. All charter schools are expected to prepare for the visit and have available, as applicable, all documentation requested in the *Annual Performance Based Oversight Visit Preparation Guide 2022-2023*. The “Sources of Evidence” sections below identify key information sources generally relevant to their respective indicators; these lists are not exhaustive, however, and some items may not be applicable to the grades served. Schools may present additional evidence as deemed relevant and appropriate. As needed, CSD staff also may request additional information and/or documentation prior to, during, and/or following the visit.

The tool employs the following four-point rubric to rate the school’s performance in each category: (4) *Accomplished*, (3) *Proficient*, (2) *Developing*, and (1) *Unsatisfactory*. In addition, the *Summary of School Performance* section in each category captures key findings under one or more of the following headings: (1) Areas of Demonstrated Strength and/or Progress (Note: potential “promising practices” are identified within this section with an asterisk [\*]); (2) Areas Noted for Further Growth and/or Improvement; and, if applicable, (3) Corrective Action Required. Under “Corrective Action Required,” the CSD reports findings of material noncompliance with applicable law, LAUSD charter policy, or the school’s approved charter. **If the report includes any findings under “Corrective Action Required,” the charter school must take immediate and appropriate steps to remedy the identified concern.** In accordance with its “tiered intervention” approach to charter school non-compliance and poor performance, the CSD may also send the school appropriate notices, separate and apart from this report, to provide and document time-specific follow-up as necessary. At the other end of the spectrum of performance, any school that earns a rating of *Accomplished* in any category is encouraged to submit to the CSD a summary of those “promising practices” that the school believes have contributed to its success, in order to support the CSD’s ongoing efforts to promote and facilitate reciprocal sharing of promising practices among education leaders from across all LAUSD schools.



GOVERNANCE	RATING*
Summary of School Performance	4, Accomplished
<p><u>Areas of Demonstrated Strength and/or Progress</u></p> <ul style="list-style-type: none"> <li>• G1: GOVERNANCE STRUCTURE AND EVALUATION OF SCHOOL LEADER(S) - The Governing Board has fully implemented the organizational structure set forth in approved charter, including evidence of seeking input from all committees/councils, and a well-developed system for the evaluation of the school’s executive level leadership. A review of documentation, including the school’s website, evidenced the following: <ul style="list-style-type: none"> <li>▪ Organizational Chart, Bylaws, governing board meeting calendar, meeting agendas and approved board minutes from the last 12 months</li> <li>▪ Site-based “Parent Collective” has four parent leaders (Coordinators), the roles of which are: Communication, Events, Community, and Fundraising.</li> <li>▪ Diversity, Equity, Inclusion and Belonging committee meets 10 times a year; topics include OCS Equity Plan, DEI Dialogues, OCS dress code, and Brown Act.</li> <li>▪ Executive Director Performance Evaluation was provided for review and incudes 14 “job competencies” with descriptors. The Board of Trustees is responsible for the evaluation of the school’s executive level leadership.</li> </ul> </li> <li>• G3: DUE PROCESS - The Governing Board has well-developed systems in place to ensure that the school provides adequate due process, in accordance with applicable law, the charter, and LAUSD charter policy, for students, employees, parents, and the public. Based on a review of the documents provided: <ul style="list-style-type: none"> <li>▪ The school’s employee due process and discipline procedures are outlined in the Ocean Charter Teachers’ Association contract.</li> <li>▪ The school has developed a comprehensive complaint form for use in resolving informal complaints.</li> <li>▪ Uniform Complaint Policy and Procedures are in place.</li> </ul> </li> </ul> <p><u>Areas Noted for Further Growth and/or Improvement</u> None</p> <p><u>Corrective Action Required</u> None noted that require immediate action to remedy concerns indicated in this report.</p>	
<p>Notes: None</p>	



LAUSD CHARTER SCHOOLS DIVISION

SCHOOL NAME: Ocean Charter School (5962)

Annual Performance-Based Oversight Visit Report

DATE OF VISIT: 1/26/2023

***\*NOTE: A charter school may receive a rating of 1 in this category for the following: (1) Evidence of conflict (s) of interest within the organization (i.e. Governing Board, staff, contracted external parties, etc.), (2) School is in breach of the operative charter, including Federal, State, and District Required Language, (3) School is “Not in Good Standing”, and/or (4) If there are serious concerns related to fiscal matters (e.g., negative financial condition, fiscal mismanagement, and/or significant audit findings, etc.).***



**G1: GOVERNANCE STRUCTURE AND EVALUATION OF SCHOOL LEADER(S) - QUALITY INDICATOR #1**

<p><i>The Governing Board has implemented the organizational structure, roles and responsibilities set forth in the approved charter, including:</i></p> <ul style="list-style-type: none"> <li>Governing Board composition, structure, roles, and responsibilities as set forth in the approved charter</li> <li>Governing Board seeks input from committees/councils described in the school’s charter including but not limited to those mandated by laws or regulations as applicable (e.g., School Site Council and English Learner Advisory Committee)</li> <li>Evaluation of school’s executive level leadership (including, but not limited to, those positions reporting to the Governing Board, as indicated in Element 4 of the approved charter, such as Executive Director, Area Superintendent, Principal, etc.)</li> </ul>		
	<b>Rubric</b>	<b>Sources of Evidence</b>
<b>Performance</b>	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> The Governing Board has fully implemented the organizational structure set forth in approved charter, including evidence of seeking input from all committees/councils, and a well-developed system for the evaluation of the school’s executive level leadership</li> <li><input type="checkbox"/> The Governing Board has fully implemented the organizational structure set forth in approved charter, including any mandated committees/councils, and a system for the evaluation of the school’s executive level leadership</li> <li><input type="checkbox"/> The Governing Board has partially implemented the organizational structure set forth in approved charter, including any mandated committees/councils, and a system for the evaluation of the school’s executive level leadership</li> <li><input type="checkbox"/> The Governing Board has not implemented the organizational structure set forth in approved charter, nor any mandated committees/councils or a system for the evaluation of the school’s executive level leadership</li> </ul>	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Current organizational chart <b>(B1.1a)</b></li> <li><input checked="" type="checkbox"/> Organizational chart in Board approved charter <b>(B1.1b)</b></li> <li><input checked="" type="checkbox"/> Bylaws <b>(B1.2)</b></li> <li><input checked="" type="checkbox"/> Board member roster <b>(B1.3)</b></li> <li><input checked="" type="checkbox"/> Board meeting agendas and minutes <b>(B1.4)</b></li> <li><input checked="" type="checkbox"/> Observation of Governing Board meeting(s)</li> <li><input checked="" type="checkbox"/> Committee/council calendars, agendas, roster(s), and sign-ins <b>(B1.6)</b></li> <li><input checked="" type="checkbox"/> Documentation related to system for evaluation of executive level leadership. <b>(B1.7)</b></li> <li><input type="checkbox"/> Discussion with leadership</li> <li><input type="checkbox"/> Other: (Specify)</li> </ul>



**G2: BROWN ACT - QUALITY INDICATOR #2**

*The Governing Board has a system in place to ensure it is adhering to applicable open meeting requirements, which protect the public interest in transparency and help to ensure that decisions are made without apparent or actual conflicts of interest:*

- Governing Board meetings occur regularly, are conducted openly, and provide opportunity for public participation in accordance with the Ralph M. Brown Act (“Brown Act”) (Gov. Code, §§54950, et seq.)
- Governing Board holds its meetings at a location(s) and in a manner that complies with applicable Brown Act requirements and Ed. Code, §47604.1, including but not limited to teleconferencing, closed session, public comment, and Reasonable Accommodations.
- The public has access to the meetings from a location(s) within the jurisdictional boundaries of LAUSD, as required by applicable Brown Act provisions, Ed. Code, § 47604.1(c)(1)(A) and as described in the approved charter.
- Governing Board meeting agendas contain adequate item descriptions and are posted on the school’s website and on campus in accordance with the requirements of the Brown Act.
- Governing Board meetings are held in accordance with the Brown Act including the requirements set forth in Ed. Code § 47604.1.

Rubric		Sources of Evidence
<b>Performance</b>	<input checked="" type="checkbox"/> The Governing Board complies with all material provisions of the Brown Act <input type="checkbox"/> The Governing Board complies with most material provisions of the Brown Act <input type="checkbox"/> The Governing Board complies with some material provisions of the Brown Act <input type="checkbox"/> The Governing Board complies with few material provisions of the Brown Act	<input checked="" type="checkbox"/> Board meeting agendas <b>(B1.4)</b> <input checked="" type="checkbox"/> Board meeting calendar <b>(B1.5)</b> <input checked="" type="checkbox"/> Brown Act training documentation <b>(B1.8a)</b> <input checked="" type="checkbox"/> Compliance with E.C. 47604.1 <b>(B1.8b)</b> <input checked="" type="checkbox"/> Documentation of the school’s agenda posting procedures <b>(B1.9)</b> <input checked="" type="checkbox"/> School website <input checked="" type="checkbox"/> Observation of Governing Board meeting(s) <input type="checkbox"/> Discussion with school leadership <input type="checkbox"/> Other: (Specify)



**G3: DUE PROCESS - QUALITY INDICATOR #3**

*The Governing Board has systems in place to ensure that the school provides adequate due process, in accordance with applicable law, the school’s charter, and LAUSD charter school policy, to honor and protect the rights of students, employees, parents, and the public in the following areas:*

- Student discipline
- Employee grievances and discipline
- Stakeholder complaint resolution pursuant to the Uniform Complaint Procedures (UCP)
- Parent/stakeholder complaint resolution for complaints outside regulatory scope of UCP

Rubric		Sources of Evidence
<b>Performance</b>	<input checked="" type="checkbox"/> The Governing Board has well-developed systems in place to ensure that the school provides adequate due process, in accordance with applicable law, the charter, and LAUSD charter policy, for students, employees, parents, and the public <input type="checkbox"/> The Governing Board has systems in place to ensure that the school provides adequate due process, in accordance with applicable law, the charter, and LAUSD charter policy, for students, employees, parents, and the public <input type="checkbox"/> The Governing Board has partially developed systems in place to ensure that the school provides adequate due process, in accordance with applicable law, the charter, and LAUSD charter policy, for students, employees, parents, and the public <input type="checkbox"/> The Governing Board has minimal or no systems in place to ensure that the school provides adequate due process, in accordance with applicable law, the charter, and LAUSD charter policy, for students, employees, parents, and the public	<input checked="" type="checkbox"/> Board meeting agendas and minutes <b>(B1.4)</b> <input checked="" type="checkbox"/> Parent-Student Handbook(s) <b>(B1.10a)</b> <input checked="" type="checkbox"/> Employee Handbook(s) <b>(B1.10b)</b> <input checked="" type="checkbox"/> Uniform Complaint Procedures policy and form(s) <b>(B1.11)</b> <input checked="" type="checkbox"/> Stakeholder complaint procedures and form(s) <b>(B1.12)</b> <input checked="" type="checkbox"/> Human Resources (HR) policies and procedures <b>(B1.13)</b> <input checked="" type="checkbox"/> Observation of Governing Board meeting(s) <input type="checkbox"/> Discussion with school leadership <input type="checkbox"/> Other: (Specify)





**G4: RESPONSIVE AND ACCOUNTABLE GOVERNANCE - QUALITY INDICATOR #4**

<i>The Governing Board has systems in place to ensure ongoing:</i>	
<ul style="list-style-type: none"> <li>• Review and use of academic performance data (e.g., CA School Dashboard, internal assessments, etc.) and other school data and information to ensure sound Governing Board decision-making in support of continuous improvement of student achievement, fiscal viability, compliance, and overall public school excellence</li> <li>• Monitoring of the school’s implementation of its Local Control and Accountability Plan (LCAP) and additional school plans, (e.g., Comprehensive Support and Improvement (CSI), Additional Targeted Support and Improvement (ATSI), School Plan for Student Achievement (SPSA), and action plans for making progress toward LCAP goals)</li> <li>• Transparent governance and accountability to stakeholders, including consideration of input from the school’s committees/councils and stakeholders</li> <li>• Monitoring of staffing needs and the school’s compliance with all applicable credentialing, clearance, and training requirements</li> </ul>	
<b>Rubric</b>	<b>Sources of Evidence</b>



<b>Performance</b>	<input type="checkbox"/> The Governing Board regularly considers school performance data, stakeholder input, and other data to inform decision-making (e.g., approving action plans, resources, evaluation criteria) <input checked="" type="checkbox"/> The Governing Board considers school performance data, stakeholder input, and other data to inform decision-making (e.g., approving action plans, resources, evaluation criteria, etc.) <input type="checkbox"/> The Governing Board inconsistently considers school performance data, stakeholder input, and other data to inform decision-making (e.g., approving action plans, resources, evaluation criteria, etc.) <input type="checkbox"/> The Governing Board seldom considers school performance data, stakeholder input, and other data to inform decision-making (e.g., approving action plans, resources, evaluation criteria, etc.)	<input checked="" type="checkbox"/> Board meeting agendas, minutes, and meeting materials for meetings at which the Board reviewed: <b>(B1.14a)</b> <input type="checkbox"/> CA School Dashboard Academic Performance Indicators (ELA, MATH, ELPI, CCI) <input type="checkbox"/> CA School Dashboard Academic Engagement Indicators (Chronic Absenteeism, Graduation Rate) <input type="checkbox"/> CA School Dashboard Conditions and Climate Indicator (Suspension Rate) <input checked="" type="checkbox"/> Attendance rate <input checked="" type="checkbox"/> Internal assessment data <input checked="" type="checkbox"/> Enrollment data <input checked="" type="checkbox"/> Staffing data (retention, turnover, certification, etc.) <input checked="" type="checkbox"/> Board meeting calendar <b>(B1.5)</b> <input checked="" type="checkbox"/> Human Resources (HR) policies and procedures <b>(B1.13)</b> <input checked="" type="checkbox"/> Other evidence of a system for Board review and analysis of internal school data to inform decision-making <b>(B1.14b)</b> <input checked="" type="checkbox"/> Documentation of Board member and/or executive leadership training on topics that support responsive and accountable governance (e.g., data-driven decision making, Board roles and responsibilities, etc.) <b>(B1.14c)</b> <input checked="" type="checkbox"/> Observation of Governing Board meeting(s) <input type="checkbox"/> Discussion with school leadership <input type="checkbox"/> Stakeholder focus group <input type="checkbox"/> Other: (Specify)
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**G5: FISCAL CONDITION - GOVERNANCE QUALITY INDICATOR #5**

The Governing Board has a system in place to ensure fiscal viability:	
<ul style="list-style-type: none"> <li>• The school is fiscally strong and net assets are positive in the prior two independent audit reports.</li> <li>• If applicable, all LAUSD Board of Education-approved fiscal condition-related benchmark(s) are met by the required deadline(s).</li> </ul>	
Rubric	Sources of Evidence
Performance	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> The school is fiscally strong with positive net assets in the prior two independent audit reports, and, if applicable, all LAUSD Board of Education-approved fiscal-condition related benchmark(s) are met by the required deadline(s)</li> <li><input type="checkbox"/> The school is fiscally stable, with positive net assets in the most current independent audit report*</li> <li><input type="checkbox"/> The school is fiscally weak or unstable**, net assets are negative in the most current independent audit report, <b>or</b> the school does not have an independent audit report on file with the Charter Schools Division*</li> <li><input type="checkbox"/> The school is consistently fiscally weak, net assets are negative in the prior two independent audit reports, <b>or</b> the school does not have an independent audit report on file with the Charter Schools Division*</li> </ul> <p>*Additional considerations that could influence the rating may include: inadequate cash flow; financial condition and/or enrollment reflecting a downward trend and/or beginning to show signs of deteriorating financial health potentially leading to negative net assets in the current fiscal year and/or the foreseeable future fiscal years; multi-year reliance on financing resources for the school’s operations (e.g., factoring of receivables, intraorganizational loans, third party loans, continuing deficit spending, etc.); or that the school may not be able to carry out quality educational programs when the student enrollment drops to a certain level.</p> <p>**For example, the school’s financial condition fluctuates from year to year, with significant net losses, leading to negative net assets in the current fiscal year and/or the foreseeable future fiscal years.</p>
	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Board meeting agendas and minutes <b>(B1.4)</b></li> <li><input type="checkbox"/> Other evidence of a system for Board review and monitoring of fiscal policies, procedures, budget, and finances <b>(B1.15)</b></li> <li><input checked="" type="checkbox"/> Observation of Governing Board meeting</li> <li><input checked="" type="checkbox"/> Discussion with leadership</li> <li><input checked="" type="checkbox"/> Independent audit report(s)</li> <li><input checked="" type="checkbox"/> Other financial information submitted by the school</li> <li><input checked="" type="checkbox"/> Other: (see Fiscal Operations section below)</li> </ul>



**G6: FISCAL MANAGEMENT AND ACCOUNTABILITY - GOVERNANCE QUALITY INDICATOR #6**

***The Governing Board has a system in place to ensure sound fiscal management and accountability:***

- The school adheres to the Governing Board approved fiscal policies and procedures, and does not have any areas noted for improvement.
- The two most current annual independent audits show no material weaknesses, deficiencies, and/or findings.

	Rubric	Sources of Evidence
<b>Performance</b>	<input type="checkbox"/> The school <b>consistently adheres</b> to the Governing Board approved fiscal policies and procedures, does not have any areas noted for improvement, and the two most current annual independent audits show no material weaknesses, deficiencies, and/or findings <input checked="" type="checkbox"/> The school <b>generally adheres</b> to the Governing Board approved fiscal policies and procedures, but has areas noted for improvement, and the most current annual independent audit shows no material weaknesses, deficiencies, and/or findings <input type="checkbox"/> The school is <b>not adhering</b> to the Governing Board approved fiscal policies and procedures, and has areas noted for improvement, <b>or</b> has significant fiscal-related issues (e.g., fiscal mismanagement, audit findings, unresolved recurring issues, potential conflicts of interest, etc.) <input type="checkbox"/> The school is <b>continuously not adhering</b> to the Governing Board approved fiscal policies and procedures, <b>or</b> has significant and recurring fiscal-related issues (e.g., fiscal mismanagement, audit findings, potential conflicts of interest, etc.)	<input checked="" type="checkbox"/> Board meeting agendas and minutes <b>(B1.4)</b> <input type="checkbox"/> Other evidence of a system for Board review and monitoring of fiscal policies, procedures, budget, and finances <b>(B1.15)</b> <input checked="" type="checkbox"/> Observation of Governing Board meeting <input checked="" type="checkbox"/> Discussion with leadership <input checked="" type="checkbox"/> Independent audit report(s) <input checked="" type="checkbox"/> Other: (see Fiscal Operations section below)

**Progress on LAUSD Board of Education Benchmarks and/or MOU related to GOVERNANCE (if applicable):**

N/A



STUDENT ACHIEVEMENT AND EDUCATIONAL PERFORMANCE	RATING*
Summary of School Performance	3, Proficient
2020 California Department of Education’s (CDE) Charter School’s Performance Category	High Performing
<p>Does the charter school qualify for technical assistance? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p> <p>Is the charter school a state-identified school under the Every Student Succeeds Act (ESSA)? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p> <p>If yes, what is the school’s identification? (See additional information within “Notes” section below)</p> <p><input type="checkbox"/> Comprehensive Support and Improvement (CSI)</p> <p><input type="checkbox"/> Additional Targeted Support and Improvement (ATSI)</p>	
<p><u>Areas of Demonstrated Strength and/or Progress</u></p> <p><b>A1: DASHBOARD SCHOOLWIDE ELA INDICATOR</b></p> <ul style="list-style-type: none"> <li>The schoolwide Dashboard ELA Indicator Status Level is “High” with a Distance from Standard (DFS) of 33.7, which is higher than the State’s DFS of -12.2.</li> </ul> <p><b>A2: DASHBOARD SCHOOLWIDE MATH INDICATOR</b></p> <ul style="list-style-type: none"> <li>The schoolwide Dashboard Math Indicator Status Level is “Medium” with a Distance from Standard (DFS) of -7.9, which is higher than the State’s DFS of -51.7.</li> </ul> <p><b>A6: DASHBOARD SCHOOLWIDE SUSPENSION RATE INDICATOR</b></p> <ul style="list-style-type: none"> <li>The schoolwide Dashboard Suspension Rate Indicator Status is “Very Low” at 0.0% of students suspended at least once in the 2021-2022 school year, which is lower than the State at 3.1%.</li> </ul> <p><b>A8: DASHBOARD STUDENT GROUP ELA</b></p> <ul style="list-style-type: none"> <li>All numerically significant student groups have “Status/DFS” scores above the statewide DFS               <ul style="list-style-type: none"> <li>English Learner – Status “Low”/DFS -12.7, which is higher than the State’s DFS of -61.2</li> <li>Latino – Status “High”/ DFS 12.8, which is higher than the State’s DFS of -38.6</li> <li>Socioeconomically Disadvantaged – Status “Medium”/ DFS 6.8, which is higher than the State’s DFS of -41.4</li> <li>Students with Disabilities – Status “Low”/ DFS -42.5, which is higher than the State’s DFS of -97.3</li> <li>Two or More Races – Status “High”/ DFS 40.0, which is higher than the State’s DFS of 25.1</li> <li>White – Status “Very High”/ DFS 48.0, which is higher than the State’s DFS of 21.9</li> </ul> </li> </ul> <p><b>A9: DASHBOARD STUDENT GROUP MATH</b></p> <ul style="list-style-type: none"> <li>All numerically significant student groups have “Status/DFS” scores above the statewide DFS               <ul style="list-style-type: none"> <li>English Learner – Status “Medium”/DFS -14.2, which is higher than the State’s DFS of -92.0</li> </ul> </li> </ul>	



- Latino – Status “Low”/ DFS -32.3, which is higher than the State’s DFS of -83.4
- Socioeconomically Disadvantaged – Status “Low”/ DFS -39.3, which is higher than the State’s DFS of -84.0
- Students with Disabilities – Status “Low”/ DFS -87.7, which is higher than the State’s DFS of -130.8
- Two or More Races – Status “Medium”/ DFS -7.3, which is higher than the State’s DFS of -9.9
- White – Status “High”/ DFS 9.9, which is higher than the State’s DFS of -13.4

Areas Noted for Further Growth and/or Improvement

**A5: DASHBOARD SCHOOLWIDE CHRONIC ABSENTEEISM INDICATOR - (GRADES K-8)**

- The schoolwide Dashboard Chronic Absenteeism Rate Indicator Status is “Very High” with 41.3% of students who were absent 10 percent or more in the 2021-2022 school year, which is higher than the State at 30.0%. Per school leadership, OCS revised their “IS policy to allow for shorter term absences to allow more students to benefit from this learning option”; “administration is updating attendance letters to include strategies to help families achieve better attendance” and engaging in “personalized outreach meetings with families that are struggling with attendance, and follow-up supports as needed to reduce chronic absenteeism for all student groups. The school will also be offering the following supports: “before/after school care, vacation camp/childcare (academic, social, and creative), tutoring and intervention, and counseling.”

Corrective Action Required

None noted that require immediate action to remedy concerns indicated in this report.

Notes:

Due to the COVID-19 pandemic, state law suspended the reporting of state measures on the 2021 California School Dashboard. The color-coded performance level or Change level will not be issued due to the suspension of state measures on the 2021 Dashboard and not having two consecutive years of reported data. Accordingly, indicators in the Student Achievement and Educational Performance category for the 2022-2023 Annual Performance-Based Oversight Report are scored based on 2022 Status level data except for the College/Career Indicator (CCI), as CAASPP testing was optional for the 2020-2021 school year. CCI will resume with “Status Only” reporting on the 2023 Dashboard.

A11: As of the time of the issuance of this Annual Performance-Based Oversight Visit Report, the state has not published the “Annual Reclassification (RFEP) Counts and Rates” for the 2021-2022 academic year. Considering this fact, the 2022- 2023 Annual Performance-Based Oversight Visit Report does not rate the Student Achievement and Educational Performance indicator “A11: English Learner Reclassification.” Please note that, depending on the release date of the 2021-2022 RFEP counts and rates, the District may elect to update and reissue a revised 2022-2023 Annual Performance-Based Oversight Visit Report with indicator A11 rated and calculated into the final overall Student Achievement and Educational Performance category rating.

The school employs the following English Learner (EL) Reclassification Criteria:

1. Assessment of Language Proficiency: ELPAC Overall Performance Level 4
2. Basic Skills Assessment: K – DIBELS 8 scores of Benchmark or Above Benchmark in all DIBELS 8 assessed measures; 1st-5th grade – DIBELS 8 scores of Benchmark or Above Benchmark in all DIBELS 8 assessed measures or ELA SBA (3rd-5th grades) score of Standard Met or Standard Exceeded; 6th-8th grade – Reading Inventory score of Basic, Proficient or Advanced or ELA SBA score of Standard Met or Standard Exceeded
3. Teacher Evaluation: K-5th grade – ELA composite score of 3 or 4; 6th-8th grade - Grade level English or LTEL course grade of C or better



4. Parent opinion and consultation

- Rate of “At Risk” ELs is Same in comparison to the state average
- Rate of “LTELs” is Lower in comparison to the state average

Graduation Criteria (high schools only): N/A

**The school did not meet the 95 percent participation rate in ELA for the Black or African American (94%) student group. The school also did not meet the 95 percent participation rate in Math for the American Indian or Alaska Native (50%) or Black or African American (94%) student groups.**

Per OCS leadership, the Black or African American students and the American Indian or Alaska Native student who did not participate in testing during the 2021-22 academic year were opted out of testing by their parents due to concerns related to outcomes of the pandemic, the stress of testing, and the children’s best interest.

**The school provided the following plan of action to ensure that 95 percent of all numerically significant student groups are tested:**

- Continue to encourage 100% participation in all state testing in both ELA and Math for all students, and especially students who identify as Black or African American and American Indian and Alaska Native.
- Work with all families individually as needed to support their allowing their child to participate in testing.
- Explain to parents what OCS does to create a low stress testing environment, how students are prepared for testing, and the purpose and value of testing for their child and the school as a whole.

***\*NOTE: A charter school cannot receive a rating in this category greater than a 1 if the school has been identified as Low performing charter school based on the state’s published list. (Not applicable for the 2022-2023 school year due to the absence of updated performance classification. Will resume with updated performance classification published by the state.)***



**A1: DASHBOARD SCHOOLWIDE ELA INDICATOR - QUALITY INDICATOR #1**

<i>The school demonstrates student academic achievement, including progress towards closing the achievement gap, as measured by:</i>		
<ul style="list-style-type: none"> <li>California School Dashboard Schoolwide ELA data (CDE)</li> </ul>		
Rubric		Sources of Evidence
<b>Performance</b>	<input type="checkbox"/> The schoolwide Dashboard ELA Indicator Status is Very High <input checked="" type="checkbox"/> The schoolwide Dashboard ELA Indicator Status is High; or Medium and at/above statewide Distance From Standard (“DFS”) <input type="checkbox"/> The schoolwide Dashboard ELA Indicator Status is Medium and below statewide DFS; or Low <input type="checkbox"/> The schoolwide Dashboard ELA Indicator Status is Very Low <input type="checkbox"/> Not Available - No Status assigned for the ELA Indicator on the Dashboard	<input checked="" type="checkbox"/> California School Dashboard Report (CDE) <input checked="" type="checkbox"/> LAUSD Office of Data & Accountability’s Data Set <b>(B2.1)</b> <input type="checkbox"/> Other: (Specify)

**A2: DASHBOARD SCHOOLWIDE MATH INDICATOR - QUALITY INDICATOR #2**

<i>The school demonstrates student academic achievement, including progress towards closing the achievement gap, as measured by:</i>		
<ul style="list-style-type: none"> <li>California School Dashboard Schoolwide Math data (CDE)</li> </ul>		
Rubric		Sources of Evidence
<b>Performance</b>	<input type="checkbox"/> The schoolwide Dashboard Math Indicator Status is Very High <input checked="" type="checkbox"/> The schoolwide Dashboard Math Indicator Status is High; or Medium and at/above statewide DFS <input type="checkbox"/> The schoolwide Dashboard Math Indicator Status is Medium and below statewide DFS; or Low <input type="checkbox"/> The schoolwide Dashboard Math Indicator Status is Very Low <input type="checkbox"/> Not Available - No Status assigned for the Math Indicator on the Dashboard	<input checked="" type="checkbox"/> California School Dashboard Report (CDE) <input checked="" type="checkbox"/> LAUSD Office of Data & Accountability’s Data Set <b>(B2.1)</b> <input type="checkbox"/> Other: (Specify)





**A3: DASHBOARD SCHOOLWIDE ENGLISH LEARNER PROGRESS INDICATOR (ELPI) - QUALITY INDICATOR #3**

<p><i>The school demonstrates student academic achievement, including progress towards closing the achievement gap, as measured by:</i></p> <ul style="list-style-type: none"> <li>California School Dashboard Schoolwide ELPI data (CDE)</li> </ul>		
<b>Rubric</b>		<b>Sources of Evidence</b>
<b>Performance</b>	<input type="checkbox"/> The schoolwide Dashboard ELPI Status is Very High <input type="checkbox"/> The schoolwide Dashboard ELPI Status is High; or Medium and at/above statewide percentage <input type="checkbox"/> The schoolwide Dashboard ELPI Status is Medium and below statewide percentage; or Low <input type="checkbox"/> The schoolwide Dashboard ELPI Status is Very Low <input checked="" type="checkbox"/> Not Available - No Status assigned for the ELPI on the Dashboard	<input checked="" type="checkbox"/> California School Dashboard Report (CDE) <input checked="" type="checkbox"/> LAUSD Office of Data & Accountability's Data Set <b>(B2.1)</b> <input type="checkbox"/> Other: (Specify)

**A4: DASHBOARD SCHOOLWIDE COLLEGE/CAREER INDICATOR (CCI) - (GRADES 9-12) - QUALITY INDICATOR #4**

<p><i>The school demonstrates student academic achievement, including progress towards closing the achievement gap, as measured by:</i></p> <ul style="list-style-type: none"> <li>California School Dashboard Schoolwide CCI data (CDE)</li> </ul>		
<b>Rubric</b>		<b>Sources of Evidence</b>
<b>Performance</b>	<input type="checkbox"/> Not Available - No Status assigned for the CCI on the 2022 Dashboard <input checked="" type="checkbox"/> Not Applicable - CCI is not applicable for the grade levels assigned at the charter school	<input type="checkbox"/> California School Dashboard Report (CDE) <input type="checkbox"/> LAUSD Office of Data & Accountability's Data Set <b>(B2.1)</b> <input type="checkbox"/> Other: (Specify)



**A5: DASHBOARD SCHOOLWIDE CHRONIC ABSENTEEISM INDICATOR - (GRADES K-8) - QUALITY INDICATOR #5**

<p><i>The school demonstrates student academic achievement, including progress towards closing the achievement gap, as measured by:</i></p> <ul style="list-style-type: none"> <li>California School Dashboard Schoolwide Chronic Absenteeism Indicator data (CDE)</li> </ul>		
	<b>Rubric</b>	<b>Sources of Evidence</b>
<b>Performance</b>	<input type="checkbox"/> The schoolwide Dashboard Chronic Absenteeism Indicator Status is Very Low <input type="checkbox"/> The schoolwide Dashboard Chronic Absenteeism Indicator Status is Low; or Medium and at/below statewide percentage <input type="checkbox"/> The schoolwide Dashboard Chronic Absenteeism Indicator Status is Medium and above statewide percentage; or High <input checked="" type="checkbox"/> The schoolwide Dashboard Chronic Absenteeism Indicator Status is Very High <input type="checkbox"/> Not Available - No Status assigned for the Chronic Absenteeism Indicator on the Dashboard <input type="checkbox"/> Not Applicable - The Chronic Absenteeism Indicator is not applicable for the grade levels assigned at the charter school	<input checked="" type="checkbox"/> California School Dashboard Report (CDE) <input checked="" type="checkbox"/> LAUSD Office of Data & Accountability's Data Set <b>(B2.1)</b> <input type="checkbox"/> Other: (Specify)

**A6: DASHBOARD SCHOOLWIDE SUSPENSION RATE INDICATOR - QUALITY INDICATOR #6**

<p><i>The school demonstrates student academic achievement, including progress towards closing the achievement gap, as measured by:</i></p> <ul style="list-style-type: none"> <li>California School Dashboard Schoolwide Suspension Rate Indicator data (CDE)</li> </ul>		
	<b>Rubric</b>	<b>Sources of Evidence</b>
<b>Performance</b>	<input checked="" type="checkbox"/> The schoolwide Dashboard Suspension Rate Indicator Status is Very Low <input type="checkbox"/> The schoolwide Dashboard Suspension Rate Indicator Status is Low; or Medium and at/below statewide percentage <input type="checkbox"/> The schoolwide Dashboard Suspension Rate Indicator Status is Medium and above statewide percentage; or High <input type="checkbox"/> The schoolwide Dashboard Suspension Rate Indicator Status is Very High <input type="checkbox"/> Not Available - No Status assigned for the Suspension Rate Indicator on the Dashboard	<input checked="" type="checkbox"/> California School Dashboard Report (CDE) <input checked="" type="checkbox"/> LAUSD Office of Data & Accountability's Data Set <b>(B2.1)</b> <input type="checkbox"/> Other: (Specify)



**A7: DASHBOARD SCHOOLWIDE GRADUATION RATE INDICATOR - (GRADES 9-12) - QUALITY INDICATOR #7**

<p><i>The school demonstrates student academic achievement, including progress towards closing the achievement gap, as measured by:</i></p> <ul style="list-style-type: none"> <li>California School Dashboard Schoolwide Graduation Rate Indicator data (CDE)</li> </ul>		
<b>Rubric</b>		<b>Sources of Evidence</b>
<b>Performance</b>	<input type="checkbox"/> The schoolwide Dashboard Graduation Rate Indicator Status is Very High <input type="checkbox"/> The schoolwide Dashboard Graduation Rate Indicator Status is High; or Medium and at/above statewide percentage <input type="checkbox"/> The schoolwide Dashboard Graduation Rate Indicator Status is Medium and below statewide percentage; or Low <input type="checkbox"/> The schoolwide Dashboard Graduation Rate Indicator Status is Very Low <input type="checkbox"/> Not Available - No Status assigned for the Graduation Rate Indicator on the Dashboard <input checked="" type="checkbox"/> Not Applicable - The Graduation Rate Indicator is not applicable for the grade levels assigned at the charter school	<input type="checkbox"/> California School Dashboard Report (CDE) <input type="checkbox"/> LAUSD Office of Data & Accountability’s Data Set <b>(B2.1)</b> <input type="checkbox"/> Parent-Student Handbook or other documentation of school’s graduation requirements <b>(B2.5)</b> <input type="checkbox"/> Other: (Specify)

**A8: DASHBOARD STUDENT GROUP ELA - QUALITY INDICATOR #8**

<p><i>The school demonstrates student academic achievement, including progress towards closing the achievement gap, as measured by:</i></p> <ul style="list-style-type: none"> <li>Performance of all numerically significant student groups (30 or more students) on the California School Dashboard ELA (Students with Disabilities, English Learners, Socioeconomically Disadvantaged, etc.)(CDE)</li> </ul>		
<b>Rubric</b>		<b>Sources of Evidence</b>
<b>Performance</b>	<input checked="" type="checkbox"/> All numerically significant student groups have “Status/Distance From Standard (DFS)” scores above the statewide DFS <input type="checkbox"/> The majority of numerically significant student groups have “Status/DFS” scores above the statewide DFS <input type="checkbox"/> Less than a majority of the numerically significant student groups have “Status/DFS” scores above the statewide DFS <input type="checkbox"/> None of the school’s numerically significant student groups have “Status/DFS” scores above the statewide DFS <input type="checkbox"/> Not Available - No assessment of performance for this indicator	<input checked="" type="checkbox"/> California School Dashboard Report (CDE) <input checked="" type="checkbox"/> LAUSD Office of Data & Accountability’s Data Set <b>(B2.1)</b> <input type="checkbox"/> Other: (Specify)



**A9: DASHBOARD STUDENT GROUP MATH - QUALITY INDICATOR #9**

<p><i>The school demonstrates student academic achievement, including progress towards closing the achievement gap, as measured by:</i></p> <ul style="list-style-type: none"> <li>Performance of all numerically significant student groups (30 or more students) on the California School Dashboard Math (Students with Disabilities, English Learners, Socioeconomically Disadvantaged, etc.)(CDE)</li> </ul>		
<b>Rubric</b>		<b>Sources of Evidence</b>
<b>Performance</b>	<input checked="" type="checkbox"/> All numerically significant student groups have “Status/DFS” scores above the statewide DFS <input type="checkbox"/> The majority of numerically significant student groups have “Status/DFS” scores above the statewide DFS <input type="checkbox"/> Less than a majority of the numerically significant student groups have “Status/DFS” scores above the statewide DFS <input type="checkbox"/> None of the school’s numerically significant student groups have “Status/DFS” scores above the statewide DFS <input type="checkbox"/> Not Available - No assessment of performance for this indicator	<input checked="" type="checkbox"/> California School Dashboard Report (CDE) <input checked="" type="checkbox"/> LAUSD Office of Data & Accountability’s Data Set <b>(B2.1)</b> <input type="checkbox"/> Other: (Specify)

**A10: DASHBOARD STUDENT GROUP COLLEGE/CAREER INDICATOR (CCI) - (GRADES 9-12) - QUALITY INDICATOR #10**

<p><i>The school demonstrates student academic achievement, including progress towards closing the achievement gap, as measured by:</i></p> <ul style="list-style-type: none"> <li>Performance of all numerically significant student groups (30 or more students) on the California School Dashboard CCI (Students with Disabilities, English Learners, and Socioeconomically Disadvantaged, etc.)(CDE)</li> </ul>		
<b>Rubric</b>		<b>Sources of Evidence</b>
<b>Performance</b>	<input type="checkbox"/> Not Available - No Status assigned for the CCI on the 2022 Dashboard <input checked="" type="checkbox"/> Not Applicable - CCI is not applicable for the grade levels assigned at the charter school	<input type="checkbox"/> California School Dashboard Report (CDE) <input type="checkbox"/> Office of Data & Accountability’s Data Set <b>(B2.1)</b> <input type="checkbox"/> Other: (Specify)



**A11: ENGLISH LEARNER RECLASSIFICATION - QUALITY INDICATOR #11**

<p><i>The school demonstrates student academic achievement, including progress towards closing the achievement gap, as measured by:</i></p> <ul style="list-style-type: none"> <li>English Learner reclassification rate for 2021-2022 (CDE)</li> </ul>	
Rubric	Sources of Evidence
<p><b>Performance</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> The school reclassified English Learners at a rate higher than the state average</li> <li><input type="checkbox"/> The school reclassified English Learners at a rate similar to the state average</li> <li><input type="checkbox"/> The school reclassified English Learners at a rate lower than the state average</li> <li><input type="checkbox"/> The school did not reclassify any of its English Learners</li> <li><input type="checkbox"/> Not Applicable - The school did not have any English Learners</li> <li><input checked="" type="checkbox"/> No Reclassification data for the 2021-2022 school year published by the state at the time of the issuance of this report. Please see “Notes” section above.</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Reclassification report (CDE)</li> <li><input type="checkbox"/> Office of Data &amp; Accountability’s Data Set <b>(B2.1)</b></li> <li><input type="checkbox"/> Summative ELPAC report (CDE) <b>(B2.3)</b></li> <li><input type="checkbox"/> Master Plan for English Learners adopted by school’s Governing Board <b>(B3.2i)</b></li> </ul>

**A12: INTERNAL ASSESSMENT – VERIFIED DATA IMPLEMENTATION – QUALITY INDICATOR #12**

<p>The information provided in this report on internal assessments is to determine the charter school’s verified data implementation, as applicable. As part of renewal (once and as applicable) for charter schools that are identified by the California Department of Education (CDE) as Middle or Low Performing, the LAUSD Board shall consider schoolwide performance and performance of all student groups on the California School Dashboard and shall also consider clear and convincing evidence, demonstrated by verified data, showing either a) the school achieved measurable increases in academic achievement, as defined by at least one year’s progress for each in school or b) strong postsecondary outcomes equal to similar peers.</p> <p>The LAUSD Board shall only consider verified data adopted by the State Board of Education pursuant to Education Code section 47607.2(c) (<u>Approved List</u>). In addition, staff’s review of the charter school’s submitted materials will be based on the verified data sources and related information adopted by the State Board of Education (Ed. Code, § 47607.2(c)(3).) Charter schools submitting verified data for this purpose must adhere to the state-approved criteria.</p> <p><b>The information below is based on charter school’s <i>self-reported</i> data and will not be scored.</b></p> <p><b><u>Academic Progress Indicator(s) for the 2021-2022 School Year:</u></b></p> <table border="0"> <tr> <td>Academic Progress Indicator: easeCBM by Riverside Insights</td> <td>Grade Levels: 1-8</td> <td>Assessment Administration: Beginning Year/End of Year</td> <td>95% Participation Met*: <input checked="" type="checkbox"/> Met   <input type="checkbox"/> Not Met</td> </tr> <tr> <td>Academic Progress Indicator: CAASPP (ELA)</td> <td>Grade Levels: 3-8</td> <td>Assessment Administration: Fall/Spring</td> <td>95% Participation Met*: <input checked="" type="checkbox"/> Met   <input type="checkbox"/> Not Met</td> </tr> <tr> <td>Academic Progress Indicator: CAASPP (Math)</td> <td>Grade Levels: 3-8</td> <td>Assessment Administration: Fall/Spring</td> <td>95% Participation Met*: <input checked="" type="checkbox"/> Met   <input type="checkbox"/> Not Met</td> </tr> </table> <p>*If the charter school did not meet the 95% participation, the charter school’s plan to address the participation is included in the Notes below.</p>				Academic Progress Indicator: easeCBM by Riverside Insights	Grade Levels: 1-8	Assessment Administration: Beginning Year/End of Year	95% Participation Met*: <input checked="" type="checkbox"/> Met <input type="checkbox"/> Not Met	Academic Progress Indicator: CAASPP (ELA)	Grade Levels: 3-8	Assessment Administration: Fall/Spring	95% Participation Met*: <input checked="" type="checkbox"/> Met <input type="checkbox"/> Not Met	Academic Progress Indicator: CAASPP (Math)	Grade Levels: 3-8	Assessment Administration: Fall/Spring	95% Participation Met*: <input checked="" type="checkbox"/> Met <input type="checkbox"/> Not Met
Academic Progress Indicator: easeCBM by Riverside Insights	Grade Levels: 1-8	Assessment Administration: Beginning Year/End of Year	95% Participation Met*: <input checked="" type="checkbox"/> Met <input type="checkbox"/> Not Met												
Academic Progress Indicator: CAASPP (ELA)	Grade Levels: 3-8	Assessment Administration: Fall/Spring	95% Participation Met*: <input checked="" type="checkbox"/> Met <input type="checkbox"/> Not Met												
Academic Progress Indicator: CAASPP (Math)	Grade Levels: 3-8	Assessment Administration: Fall/Spring	95% Participation Met*: <input checked="" type="checkbox"/> Met <input type="checkbox"/> Not Met												



1.  The charter school disaggregated student performance data for the following student groups: African American or Black, Asian, Latino, White, Two or More Races, English Learners, Socioeconomically Disadvantaged, and Students with Disabilities.  
 The charter school did not disaggregate student performance data by student groups.
2. The charter school affirmed that the assessments were administrated as intended, consistent with the test publishers' administration and test security procedures.
3. The charter school did not provide the test publisher's definition of one year's progress, per publisher's source document.

**Postsecondary Outcomes (high school only):**

1. The charter school uses the Choose an item. data source and Choose an item. the results of at least 95% of eligible students. If the charter school did not meet the 95% participation, the charter school's plan to address the participation is included in the Notes below.
2. The charter school Choose an item. the number of eligible students and missing or non-participating students.
3. The charter school Choose an item. evidence of comparing the data to similar peers (which may include, but not limited to, similar demographics, pupil student groups, first-time college attendance, or other similar circumstances and if not available, comparison to statewide data).

**Notes:**

Ocean Charter School utilized easyCBM as an internal assessment vendor through the 2021-2022 academic year. At this time, Ocean has opted to discontinue its use and has begun using NWEA. Due to the discontinuance of easyCBM, the school reports that its "scores and reports are no longer accessible now that our account is inactive." Ocean Charter will have reportable data for the 2022-2023 school year through their new vendor, NWEA.

**Progress on LAUSD Board of Education Benchmarks and/or MOU related to STUDENT ACHIEVEMENT AND EDUCATIONAL PERFORMANCE (if applicable):**

None



**LOCAL CONTROL ACCOUNTABILITY PLAN 2022-2023 (For Informational Purposes Only)**

*The CSD reviewed the Local Control Accountability Plan.*

All requested template information and descriptions were provided:	Sources of Evidence
<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> LCFF Budget Overview for Parents</li> <li><input checked="" type="checkbox"/> Supplement to the Annual Update to the 2021-2022 LCAP</li> <li><input checked="" type="checkbox"/> 2022-2023 Plan Summary</li> <li><input checked="" type="checkbox"/> Engaging Educational Partners</li> <li><input checked="" type="checkbox"/> Goals and Actions</li> <li><input checked="" type="checkbox"/> Increased or Improved Services for Foster Youth, English Learners, and Low-Income Students</li> <li><input checked="" type="checkbox"/> Action Tables</li> </ul>	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Local Control Accountability Plan (B2.7)</li> <li><input checked="" type="checkbox"/> Board Agenda and Minutes (B2.7)</li> </ul>

Notes:

**None**



ORGANIZATIONAL MANAGEMENT, PROGRAMS, AND OPERATIONS	RATING*
<b>Summary of School Performance</b>	4, Accomplished
<p><u>Areas of Demonstrated Strength and/or Progress</u></p> <ul style="list-style-type: none"> <li>• O1: SCHOOL HEALTH AND SAFETY PLANS AND PROCEDURES: The school has a well-developed system in place to ensure the protection of student and staff health and safety in compliance with applicable legal and charter requirements related to health and safety. During classroom walkthroughs, on the day of the oversight visit, emergency supplies were available and included first aid kits, flashlights, emergency provisions, etc. Documents provided evidence of 1) A comprehensive Safe Evacuation Plan and SERT (School Emergency Response Team) Roles, Responsibility Matrix, Emergency Signals chart, maps of evacuation spaces; 2) Calendar of scheduled earthquake, fire, and lock down drills, emergency supply lists and photos; 3) letter for parents re Student Emergency Kit; 4) record of maintaining unexpired Epi-Pens; and 5) Automated External Defibrillator (AED) photo.</li> <li>• O3: IMPLEMENTATION OF THE EDUCATIONAL PROGRAM – The school has fully implemented the educational program, including key features, outlined in the school’s charter and aligned to California State Content Standards specific to the grade levels served. This includes full implementation of all key features, regularly reviewing and analyzing school and student progress towards annual goals and/or monitoring student progress toward completion of graduation and A-G requirements and providing ongoing professional development specific to student outcomes and key features as outlined in the charter. The scope of classroom observations was based on areas of focus identified in consultation with charter school leadership. The three focus areas for classroom observations were: 1) Arts-integrated instruction – students were observed knitting, learning a script for an upcoming performance, and drawing in their main lesson books, student work samples and artwork were posted throughout classrooms (i.e., geometry assignments), daily schedules evidenced upcoming activities (i.e., strings, handwork); 2) Main lesson books – students were observed responding to questions, working as a group to craft a paragraph about the lesson and drawing their interpretations in their main lesson books; 3) Socially emotionally attuned classrooms –teachers were observed providing encouragement as students responded to questions, asking questions about how a character might be feeling, redirecting students to allow others to participate more fully, and explaining why collaboration/roles are important in choral performances.</li> <li>• O8: STAKEHOLDER ENGAGEMENT AND INVOLVEMENT - The school has a well-developed system for stakeholder engagement, including gathering input, encouraging involvement, sharing information, and resolving concerns. Documents provided evidence of the following:               <ul style="list-style-type: none"> <li>○ Board is comprised of 50% parents, each Board Committee (DEIB, Finance) is comprised of Exec. Directors, Board members (mostly parents), and teacher representatives.</li> <li>○ Ocean maintains a site-based “Parent Collective,” a non-Board leadership team, has four parent leaders (Coordinators), the roles of which are Communication, Events, Community, and Fundraising. Parents lead the planning of weekly and annual events (i.e., Crafters, Winter/Spring Faire, Candle Dipping, Ice Cream Social).</li> <li>○ Weekly Tea with the Directors is open to all community members during which key areas of OCS’ academic programs and LCAP have been discussed.</li> </ul> </li> </ul> <p><u>Areas Noted for Further Growth and/or Improvement</u> None</p>	





Corrective Action Required

None noted that require immediate action to remedy concerns indicated in this report.

Notes: None

**\*NOTE:**

- *A charter school cannot receive a rating in this category greater than 1 for any of the following reasons: (1) Failed to complete criminal background clearances for any new staff and/or sole proprietor (as defined on the Certification of Clearances, Credentialing, and Mandated Reporter Training 2022-2023) prior to employment; and/or failed to obtain DOJ clearance certification, as appropriate, from a vendor; and/or (2) Failed to have Health, Safety, and Emergency Plan in place.*
- *A charter school cannot receive a rating in this category greater than 2 for any of the following reasons: (1) Failed to conduct child abuse mandated reporter training in accordance with Education Code 44691; or (2) Any teacher of the core instructional program is not appropriately credentialed and assigned per legal requirements and the school's current approved charter.*
- *A charter school may receive a rating of 1 in this category if the school is in breach of the operative charter, including Federal, State, and District Required Language related to this section.*



**O1: SCHOOL HEALTH AND SAFETY PLANS AND PROCEDURES - QUALITY INDICATOR #1**

*The school has implemented school health and safety plans and procedures that ensure:*

- A current site-specific Certificate(s) of Occupancy or equivalent that authorizes the current use of the site
- A current, comprehensive, site-specific comprehensive Health, Safety, and Emergency School Safety Plan per requirements of Ed. Code, §§ 47605(c)(5)(F), 32282(a)(2)(A)-(J), and 35179.4, as applicable.  
(Note: For schools co-located with a District school, the charter school participates in and complies with the District school’s Integrated Safe School Plan)
- Posting of the school’s Visitor Policy in a visible location in or outside the school’s main office and in the Parent-Student Handbook
- Monitoring and documentation of compliance with student immunization requirements
- Completion and documentation of health screenings (e.g., vision, hearing, and scoliosis) per current applicable law and terms of the charter
- A Board adopted Pupil Suicide Prevention Policy (grades 1-6 and/or grades 7-12, as applicable), requirements of Ed. Code § 215
- Provision of two meals free of charge (breakfast and lunch) during each school day to students requesting a meal, regardless of their free or reduced-price meal eligibility, per Ed. Code § 49501.5
- For schools serving grades 7-12, inclusion of the phone number for the National Suicide Prevention Lifeline on at least one side of Student ID cards, as outlined in Ed. Code § 215.5

	Rubric	Sources of Evidence
<b>Performance</b>	<input checked="" type="checkbox"/> The school has a well-developed system in place to ensure the protection of student and staff health and safety in compliance with applicable legal and charter requirements related to health and safety <input type="checkbox"/> The school has a system in place to ensure protection of student and staff health and safety in compliance with applicable legal and charter requirements related to health and safety <input type="checkbox"/> The school has a partially developed system in place to ensure protection of student and staff health and safety in compliance with applicable legal and charter requirements related to health and safety <input type="checkbox"/> The school has a minimal or no system in place to ensure protection of student and staff health and safety in compliance with applicable legal and charter requirements related to health and safety	<input checked="" type="checkbox"/> Parent-Student Handbook(s) <b>(B1.10a)</b> <input checked="" type="checkbox"/> Visitor’s Policy <b>(B3.1a)</b> <input type="checkbox"/> Certificate(s) of Occupancy or equivalent <b>(B3.1b)</b> <input checked="" type="checkbox"/> Student immunization <b>(B3.1h)</b> <input checked="" type="checkbox"/> Health screening <b>(B3.1h)</b> <input checked="" type="checkbox"/> Comprehensive Health, Safety, and Emergency Plan <b>(B3.1c)</b> <input checked="" type="checkbox"/> Evacuation route maps <b>(B3.1c)</b> <input checked="" type="checkbox"/> Student ID card printed with the National Suicide Prevention Lifeline phone number <b>(B3.1k)</b> <input checked="" type="checkbox"/> Board adopted policy on pupil suicide prevention (grades K-6) <b>(B3.1f)</b> <input checked="" type="checkbox"/> Board adopted policy on pupil suicide prevention (grades 7-12) <b>(B3.1f)</b> <input checked="" type="checkbox"/> Site/classroom observation <input type="checkbox"/> Discussion with school leadership <input type="checkbox"/> Other: (Specify)



**O2: HEALTH AND SAFETY TRAINING AND PREPARATION – QUALITY INDICATOR #2**

**The school has a system in place to ensure:**

- The school is able and prepared to implement its emergency procedures in the event of a natural disaster or other emergency (includes threat assessment protocol). School staff and other mandated reporters working on behalf of the school receive timely training on child abuse awareness and reporting, as outlined in Ed. Code, § 44691 .
- Maintenance of an emergency epinephrine auto-injector (“epi-pen”) onsite and training has been provided to volunteer staff member(s) in the storage and emergency use, per Ed. Code § 49414
- For schools offering an interscholastic athletic program, at least one automated external defibrillator (AED) is onsite and available for use, as outlined in Ed. Code § 35179.6
- Staff receive annual training in Pupil Suicide Prevention and Awareness (as applicable) pursuant to Ed. Code §215.
- Staff receive training in bloodborne pathogens, per 8 California Code of Regulations (“CCR”), § 5193

	Rubric	Sources of Evidence
<b>Performance</b>	<input checked="" type="checkbox"/> The school has a well-developed system in place to ensure required health and safety training for the protection of students and staff is completed and in compliance with applicable legal and charter school requirements related to health and safety <input type="checkbox"/> The school has a system in place to ensure required health and safety training for the protection of students and staff is completed and in compliance with applicable legal and charter school requirements related to health and safety <input type="checkbox"/> The school has a partially developed system in place to ensure required health and safety training for the protection of students and staff is completed and in compliance with applicable legal and charter school requirements related to health and safety <input type="checkbox"/> The school has a minimal or no system in place to ensure required health and safety training for the protection of students and staff is completed and in compliance with applicable legal and charter school requirements related to health and safety	<input checked="" type="checkbox"/> Documentation of emergency drills and training <b>(B3.1g)</b> <input checked="" type="checkbox"/> Provision and location of onsite emergency supplies <b>(B3.1c)</b> <input checked="" type="checkbox"/> Child abuse mandated reporter training documentation <b>(B3.1d and B3A.5)</b> <input checked="" type="checkbox"/> Bloodborne pathogens training documentation <b>(B3.1e and B3A.5)</b> <input checked="" type="checkbox"/> Pupil Suicide Prevention and Awareness Training <b>(B3.1f and B3A.5)</b> <input checked="" type="checkbox"/> Epi-pen and training <b>(B3.1i)</b> <input checked="" type="checkbox"/> AED (schools with an interscholastic athletic program) <b>(B3.1j)</b> <input checked="" type="checkbox"/> <i>Certification of Clearances, Credentialing, and Mandated Reporter Training 2022-2023 (“ESSA Grid”)</i> <b>(B3A.1)</b> <input type="checkbox"/> Discussion with school leadership <input type="checkbox"/> Other: (Specify)



**O3: IMPLEMENTATION OF THE EDUCATIONAL PROGRAM – QUALITY INDICATOR #3**

*The school has systems in place to ensure alignment to the curricular and educational program outlined in the approved charter petition by:*

- Implementing key features of the educational program described in the charter
- Implementing standards-based instruction schoolwide in accordance with the California State Content Standards specific to the grade levels served and aligned with the needs of students
- Implementing assessments to measure the development of grade-level appropriate academic and non-academic skills
- Reviewing and analyzing school and student progress towards annual goals (schoolwide and for all student groups that the school serves) that are consistent with the educational performance measured by the California School Dashboard and state assessments
- Providing teacher, staff, and administrator professional development specific to supporting desired student outcomes and key features outlined in the school’s charter
- Implementing a system to monitor student progress toward and completion of graduation and A-G requirements (**high schools only**)

	Rubric	Sources of Evidence
<b>Performance</b>	<input checked="" type="checkbox"/> The school has fully implemented the educational program, including key features, outlined in the school’s charter and aligned to California State Content Standards specific to the grade levels served. This includes full implementation of all key features, regularly reviewing and analyzing school and student progress towards annual goals and/or monitoring student progress toward completion of graduation and A-G requirements and providing ongoing professional development specific to student outcomes and key features as outlined in the charter. <input type="checkbox"/> The school has implemented the educational program, including key features, outlined in the school’s charter and aligned to California State Content Standards specific to the grade levels served <input type="checkbox"/> The school has partially implemented the educational program, including key features, outlined in the school’s charter and aligned to California State Content Standards specific to the grade levels served <input type="checkbox"/> The school has minimally implemented, or not at all, the educational program, including key features, outlined in the school’s charter and aligned to California State Content Standards specific to the grade levels served	<input checked="" type="checkbox"/> Implementation of an educational program serving all grade levels approved to be served per charter ( <b>B3.2i, B3.2j, B3.2k</b> ) <input checked="" type="checkbox"/> Standards-based instructional program (evidence of grade level and/or content lesson plans, unit plans) ( <b>B3.2a</b> ) <input checked="" type="checkbox"/> LCAP ( <b>B3.2b</b> ) <input type="checkbox"/> Technology readiness to administer CAASPP assessments ( <b>B3.2c</b> ) ( <b>new schools only</b> ) <input checked="" type="checkbox"/> WASC accreditation ( <b>B3.2d</b> ) <input type="checkbox"/> UC Doorways course approval documentation ( <b>B3.2e</b> ) <input checked="" type="checkbox"/> Professional development documentation ( <b>B3.3c</b> ) <input type="checkbox"/> Evidence of system for monitoring student progress toward and completion of graduation and A-G requirements ( <b>B3.2g</b> ) <input type="checkbox"/> Mathematics Placement Assessment (school serving 9 <sup>th</sup> graders) ( <b>B3.2m</b> ) <input checked="" type="checkbox"/> Classroom/site observation <input checked="" type="checkbox"/> Discussion with school leadership <input type="checkbox"/> Other: (Specify)



**O4: MEETING THE NEEDS OF ALL STUDENTS; STUDENT GROUP DATA ANALYSIS - QUALITY INDICATOR #4**

<i>The school has a system in place to ensure:</i>	
<ul style="list-style-type: none"> <li>• Implementation of differentiated instructional strategies and approaches described in the charter designed to meet the learning needs of all students, including all numerically significant student groups identified in the school's LCAP and by the CDE</li> <li>• Implementation of internal student assessments aligned with instructional outcomes to determine student mastery of California State Content Standards</li> <li>• Disaggregation and analysis of data on a regular basis to address individual student needs and guide instructional planning and use of interventions</li> <li>• Implementation, review, and modification, as appropriate, of its Master Plan for English Learners (EL identification, designated and integrated ELD standards-based instruction, progress monitoring, assessment, and reclassification)</li> </ul>	
Performance	Sources of Evidence
<p style="text-align: center;"><b>Rubric</b></p> <p><input type="checkbox"/> The school has a well-developed system and monitors the components of the charter's instructional program designed to meet the learning needs of all students, including its student groups, and modifies instruction based on data analysis</p> <p><input checked="" type="checkbox"/> The school has implemented and monitors the components of the charter's instructional program designed to meet the learning needs of all students, including its student groups, and generally modifies instruction based on data analysis</p> <p><input type="checkbox"/> The school has partially implemented the components of the charter's instructional program designed to meet the learning needs of all students, including its student groups, and partially modifies instruction based on data analysis</p> <p><input type="checkbox"/> The school has minimally implemented, or not at all, the components of the charter's instructional program designed to meet the learning needs of all students, including its student groups, and does not consistently modify instruction based on data analysis</p>	<p style="text-align: center;"><b>Sources of Evidence</b></p> <p><input checked="" type="checkbox"/> Standards-based instructional program (evidence of grade level and/or content lesson plans, unit plans) <b>(B3.2a)</b></p> <p><input checked="" type="checkbox"/> LCAP <b>(B3.2b)</b></p> <p><input checked="" type="checkbox"/> Professional development documentation <b>(B3.3c)</b></p> <p><input checked="" type="checkbox"/> Documentation of intervention and support for all students <b>(B3.2j)</b></p> <p><input checked="" type="checkbox"/> Master Plan for English Learners <b>(B3.2i)</b></p> <p><input checked="" type="checkbox"/> Documentation of implementation of the school's Master Plan for English Learners <b>(B3.2j)</b></p> <p><input checked="" type="checkbox"/> Implementation of a data analysis system <b>(B3.2k)</b></p> <p><input checked="" type="checkbox"/> Classroom/site observation</p> <p><input checked="" type="checkbox"/> Discussion with school leadership</p> <p><input type="checkbox"/> Other: (Specify)</p>



**O5: SPECIAL EDUCATION - QUALITY INDICATOR #5**

*The school has a system in place to ensure that the school:*

- Provides special education programs and services in accordance with students' IEPs
- Provides special education training for staff
- Conducts a special education self-review annually, using the Special Education Self-Review Checklist
- Maintains timely IEP timeline records and accurate service provision records in Welligent

	Rubric	Sources of Evidence
<b>Performance</b>	<input type="checkbox"/> The school has a well-developed system in place for full implementation and monitoring of its special education processes and program in compliance with all requirements <input type="checkbox"/> The school has a system in place for full implementation and monitoring of its special education processes and program in compliance with all requirements <input type="checkbox"/> The school has a partially developed system in place for full implementation and monitoring of its special education processes and program in compliance with all requirements <input type="checkbox"/> The school has a minimal or no system in place for full implementation and monitoring of its special education processes and program in compliance with all requirements <input checked="" type="checkbox"/> Not Applicable - Charter school participates in LAUSD's Option 1 SELPA <input type="checkbox"/> Charter school does not participate in LAUSD's SELPA	<input type="checkbox"/> Parent-Student Handbook(s) <b>(B1.10a)</b> <input type="checkbox"/> District Validation Review (DVR) <b>(B3.3a)</b> <input type="checkbox"/> Self-Review Checklist <b>(B3.3a)</b> <input type="checkbox"/> Welligent reports and/or other documentation, including from the Division of Special Education <b>(B3.3a)</b> <input type="checkbox"/> Professional development documentation <b>(B3.3a and B3.3c)</b> <input type="checkbox"/> Intervention and support for students with disabilities <b>(B3.2j)</b> <input type="checkbox"/> Consultation with Charter Operated Programs office <input type="checkbox"/> Other special education documentation <b>(B3.3a)</b> <input type="checkbox"/> Classroom observation <input type="checkbox"/> Discussion with school leadership <input type="checkbox"/> Other: (Specify)



**O6: SCHOOL CLIMATE AND STUDENT DISCIPLINE - QUALITY INDICATOR #6**

*The school has a school climate and schoolwide student discipline system in place to ensure that the school's practices:*

- Align with principles of the District's Discipline Foundation Policy and School Climate Bill of Rights Resolution, including but not limited to, tiered behavior intervention, alternatives to suspension, and schoolwide positive behavior support, data monitoring and, includes a discipline system complaint process
- Provide positive opportunities for student wellness, growth and success, aimed at making the school safe, welcoming, supportive and inclusive
- Minimize discretionary suspensions and expulsions
- Reduce or eliminate suspension disproportionality for student groups
- Minimize chronic absenteeism for all students and student groups
- Procedures for preventing acts of bullying, including cyberbullying, in accordance with the requirements of Ed. Code, § 32283.5 and 234.4

	<b>Rubric</b>	<b>Sources of Evidence</b>
<b>Performance</b>	<input checked="" type="checkbox"/> The school has a well-developed school climate and student discipline system in place that is aligned with the principles of the Discipline Foundation Policy and School Climate Bill of Rights <input type="checkbox"/> The school has a school climate and student discipline system in place that is aligned with the principles of the Discipline Foundation Policy and School Climate Bill of Rights <input type="checkbox"/> The school has a partially developed school climate and student discipline system in place that is aligned with the principles of the Discipline Foundation Policy and School Climate Bill of Rights <input type="checkbox"/> The school has a minimally developed or no school climate and student discipline system in place that is aligned with the principles of the Discipline Foundation Policy and School Climate Bill of Rights	<input checked="" type="checkbox"/> Parent-Student Handbook(s) <b>(B1.10a)</b> <input checked="" type="checkbox"/> LCAP <b>(B3.2b)</b> <input checked="" type="checkbox"/> Professional development documentation <b>(B3.3c)</b> <input checked="" type="checkbox"/> Implementation of school climate and student discipline system that aligns with Discipline Foundation Policy and School Climate Bill of Rights principles <b>(B3.3b)</b> <input checked="" type="checkbox"/> Implementation of tiered behavior intervention (e.g. SSPT) <b>(B3.3b)</b> <input checked="" type="checkbox"/> Implementation of alternatives to suspension <b>(B3.3b)</b> <input checked="" type="checkbox"/> Implementation of schoolwide positive behavior support system <b>(B3.3b)</b> <input checked="" type="checkbox"/> Implementation of procedures for preventing acts of bullying, including cyberbullying <b>(B3.3b)</b> <input checked="" type="checkbox"/> Data monitoring <b>(B3.3b)</b> <input checked="" type="checkbox"/> LAUSD Office of Data & Accountability's Data Sets for suspension, expulsion, disproportionality, and chronic absenteeism <b>(B2.1)</b> <input checked="" type="checkbox"/> Classroom/site observation <input checked="" type="checkbox"/> Discussion with school leadership <input type="checkbox"/> Stakeholder focus group <input type="checkbox"/> Other: (Specify)



**O7: PROFESSIONAL DEVELOPMENT - QUALITY INDICATOR #7**

<p><b>The school:</b></p> <ul style="list-style-type: none"> <li>• Has a schoolwide professional development plan for teachers and other staff that supports the educational program set forth in the charter and targets identified needs</li> <li>• Provides faculty and other instructional staff with professional development opportunities to improve instructional practice</li> <li>• Provides opportunities for teachers to collaborate regularly for the purpose of planning and improving curriculum and instruction</li> </ul>		
	<b>Rubric</b>	<b>Sources of Evidence</b>
<b>Performance</b>	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> The school has a well-developed professional development plan for teachers and other staff that supports instructional practices, targets identified needs, and aligns with the education program set forth in the charter</li> <li><input type="checkbox"/> The school has implemented a professional development plan for teachers and other staff that supports instructional practices, targets identified needs, and aligns with the education program set forth in the charter</li> <li><input type="checkbox"/> The school has partially implemented a professional development plan for teachers and other staff that supports instructional practices, targets identified needs, and aligns with the education program set forth in the charter</li> <li><input type="checkbox"/> The school has not implemented a professional development plan for teachers and other staff that supports instructional practices, targets identified needs, and aligns with the education program set forth in the charter</li> </ul>	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> LCAP <b>(B3.2b)</b></li> <li><input checked="" type="checkbox"/> Professional development documentation (e.g. professional development year-long plan agendas, and sign-ins) <b>(B3.3c)</b></li> <li><input checked="" type="checkbox"/> Professional development training materials <b>(B3.3c)</b></li> <li><input checked="" type="checkbox"/> System to assess professional development needs <b>(B3.3c)</b></li> <li><input checked="" type="checkbox"/> Classroom/site observation</li> <li><input checked="" type="checkbox"/> Discussion with school leadership</li> <li><input type="checkbox"/> Stakeholder focus group</li> <li><input type="checkbox"/> Other: (Specify)</li> </ul>





**O8: STAKEHOLDER ENGAGEMENT AND INVOLVEMENT - QUALITY INDICATOR #8**

*The school has a system for stakeholder engagement, including gathering input, facilitating, and encouraging involvement, sharing information, and resolving concerns, which:*

- Provides parents, teachers, and students with meaningful opportunities for involvement and engagement that meet the requirements and goals of applicable federal and state law, the school’s charter, and the school LCAP
- Implements a School Site Council (SSC) and/or English Learner Advisory Committee (ELAC) in accordance with legal requirements (e.g., member composition of committee/council, legally required topics, etc.), if applicable
- The liaison for students experiencing homelessness has identified this population through outreach and coordination activities with other organizations, and provided related referral of services to families, children, and youth experiencing homelessness.

	Rubric	Sources of Evidence
<b>Performance</b>	<input checked="" type="checkbox"/> The school has a well-developed system for stakeholder engagement, including gathering input, encouraging involvement, sharing information, and resolving concerns <input type="checkbox"/> The school has a system for stakeholder engagement, including gathering input, encouraging involvement, sharing information, and resolving concerns <input type="checkbox"/> The school has a partially developed system for stakeholder engagement, including gathering input, encouraging involvement, sharing information, and resolving concerns <input type="checkbox"/> The school has a minimal or no system for stakeholder engagement, including gathering input, encouraging involvement, sharing information, and resolving concerns	<input checked="" type="checkbox"/> Parent-Student Handbook <b>(B1.10a)</b> <input checked="" type="checkbox"/> LCAP <b>(B3.2b)</b> <input type="checkbox"/> Documentation of SSC and ELAC meetings (e.g., calendars, agendas, minutes, roster, and sign-in) <b>(B3.3d)</b> <input checked="" type="checkbox"/> Stakeholder consultation <b>(B3.3d)</b> <input checked="" type="checkbox"/> Parent/stakeholder involvement and engagement <b>(B3.3d)</b> <input checked="" type="checkbox"/> Foster youth/students experiencing homelessness liaison <b>(B3.3d)</b> <input checked="" type="checkbox"/> School website <b>(B3.3e)</b> <input checked="" type="checkbox"/> Discussion with school leadership <input type="checkbox"/> Stakeholder focus group <input type="checkbox"/> Other: (Specify)



**O9: TRANSPARENCY FOR STAKEHOLDERS- QUALITY INDICATOR #9**

*The school has a system in place to ensure that it operates in a transparent manner and keeps stakeholders informed, including:*

- Information is easily accessible to the public and school stakeholders, including but not limited to applicable categories described in Charter School Transparency Resolution
- UCP and all complaint procedures
- Title IX information is available in applicable languages as required by law
- Per Ed. Code § 234.6 and District policy, specified information relating to suicide, bullying, discrimination and harassment prevention, among other things, are readily accessible in a prominent location on the school website in a manner that is easily accessible to parents/guardians and students
- Provides all stakeholders with appropriate, accessible, and relevant information about individual student and schoolwide academic progress and performance
- Notification requirements to pupils and parents or guardians of pupils on how to initiate access to available pupil mental health services on campus, in the community, or both no less than twice during the school year, in accordance with Ed. Code, § 49428
- Identifies and implements the most appropriate methods of informing parents and guardians of pupils in grades 6-12 of human trafficking prevention resources, in accordance with Ed. Code, § 49381
- Informs parents of high school students about transferability of courses to other public high schools and the eligibility of courses to meet college entrance requirements, in accordance with Ed. Code, § 47605 (**high schools only**)

Rubric	Sources of Evidence
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Performance

- The school has a well-developed system to share information with stakeholders, that is easily accessible via its documents available both manually, electronically and on its website
- The school has a system to share information with stakeholders via its documents available both manually, electronically and on its website
- The school has a partially developed system to share information with stakeholders via its documents available manually/electronically or on its website
- The school has a minimally developed system to share information with stakeholders with limited to no availability of documents manually/electronically or on its website

- UCP Procedure and Forms **(B1.11)**
- Complaint Procedure and Forms **(B1.12)**
- Review of the following information posted to the school’s website **(B3.3e)**:
  - LCAP, per Ed. Code § 47606.5(h)
  - Current Board agenda in compliance with Brown Act, per Gov. Code, §54954.2(a)(1)
- Website posting required per Ed. Code § 234.6 **(B3.3e)**:
  - Policy on Pupil Suicide Prevention
  - Title IX information, including a link to CDE’s Title IX website
  - Policies on anti-discrimination, anti-harassment, anti-intimidation, anti-bullying, and sexual harassment policies, including:
    - Anti-cyberbullying procedures
    - Social media anti-bullying procedures
    - Link to statewide resources including community based organizations compiled by CDE
- Sharing accessible and relevant information about individual student, student group, and schoolwide academic progress and performance with all stakeholders as appropriate **(B3.3e)**
- Access to approved charter **(B3.3e)**
- Compliance with the LAUSD BOE’s Charter School Transparency Resolution, including **(B3.3e)**:
  - Financial Audit
  - Student Demographics
- Documentation of informing parents/guardians about transferability of courses/course credit and eligibility to meet A-G requirements (high schools only) **(B3.3e)**
- Informing parents/guardians of human trafficking prevention resources (grades 6-12) **(B3.3e)**
- Notification of access to available mental health services **(B3.3e)**
- School website **(B3.3e)**



	<input type="checkbox"/> Other: (Specify)
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**O10: EVALUATION OF SCHOOL STAFF - QUALITY INDICATOR #10**

<p><i>The school has a system in place for the evaluation of school staff designed to ensure that the school's educational program yields high student achievement and complies with all applicable legal requirements, including:</i></p> <ul style="list-style-type: none"> <li>Evaluation procedures with clear performance standards for all school-based staff, including but not limited to administrators, certificated staff, and classified staff</li> <li>Evaluation tool(s) for all school-based staff, including but not limited to administrators, certificated staff, and classified staff</li> </ul>			
Rubric	Sources of Evidence		
Performance	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%; padding: 5px;"> <input checked="" type="checkbox"/> The school has a well-developed system in place for the evaluation of school staff designed to ensure that the school's educational program yields high student achievement and complies with all applicable legal requirements  <input type="checkbox"/> The school has a system in place for the evaluation of school staff designed to ensure that the school's educational program yields high student achievement and complies with all applicable legal requirements  <input type="checkbox"/> The school has a partially developed system in place for the evaluation of school staff designed to ensure that the school's educational program yields high student achievement and complies with all applicable legal requirements  <input type="checkbox"/> The school has a minimal or no system in place for the evaluation of school staff designed to ensure that the school's educational program yields high student achievement and complies with all applicable legal requirements                 </td> <td style="width: 40%; padding: 5px;"> <input checked="" type="checkbox"/> Evaluation procedures with clear performance standards for all school-based staff <b>(B3.3f)</b>  <input checked="" type="checkbox"/> Evaluation tool(s) for all school-based staff <b>(B3.3f)</b>  <input checked="" type="checkbox"/> Discussion with school leadership  <input type="checkbox"/> Other: (Specify)                 </td> </tr> </table>	<input checked="" type="checkbox"/> The school has a well-developed system in place for the evaluation of school staff designed to ensure that the school's educational program yields high student achievement and complies with all applicable legal requirements <input type="checkbox"/> The school has a system in place for the evaluation of school staff designed to ensure that the school's educational program yields high student achievement and complies with all applicable legal requirements <input type="checkbox"/> The school has a partially developed system in place for the evaluation of school staff designed to ensure that the school's educational program yields high student achievement and complies with all applicable legal requirements <input type="checkbox"/> The school has a minimal or no system in place for the evaluation of school staff designed to ensure that the school's educational program yields high student achievement and complies with all applicable legal requirements	<input checked="" type="checkbox"/> Evaluation procedures with clear performance standards for all school-based staff <b>(B3.3f)</b> <input checked="" type="checkbox"/> Evaluation tool(s) for all school-based staff <b>(B3.3f)</b> <input checked="" type="checkbox"/> Discussion with school leadership <input type="checkbox"/> Other: (Specify)
<input checked="" type="checkbox"/> The school has a well-developed system in place for the evaluation of school staff designed to ensure that the school's educational program yields high student achievement and complies with all applicable legal requirements <input type="checkbox"/> The school has a system in place for the evaluation of school staff designed to ensure that the school's educational program yields high student achievement and complies with all applicable legal requirements <input type="checkbox"/> The school has a partially developed system in place for the evaluation of school staff designed to ensure that the school's educational program yields high student achievement and complies with all applicable legal requirements <input type="checkbox"/> The school has a minimal or no system in place for the evaluation of school staff designed to ensure that the school's educational program yields high student achievement and complies with all applicable legal requirements	<input checked="" type="checkbox"/> Evaluation procedures with clear performance standards for all school-based staff <b>(B3.3f)</b> <input checked="" type="checkbox"/> Evaluation tool(s) for all school-based staff <b>(B3.3f)</b> <input checked="" type="checkbox"/> Discussion with school leadership <input type="checkbox"/> Other: (Specify)		



**O11: CLEARANCES AND CREDENTIALING COMPLIANCE - QUALITY INDICATOR #11**

<p><i>The school is in compliance with applicable law and the terms of its approved charter regarding clearances and credentialing:</i></p> <ul style="list-style-type: none"> <li>• All certificated staff are fully credentialed, including EL authorizations, and appropriately assigned as authorized by their credentials at all times</li> <li>• Individuals who have been continuously employed in a teaching position since the 2019–20 school year obtain the appropriate certificate, permit, or other document for their certificated assignment no later than July 1, 2025 (Ed. Code § 47605.4(a).)</li> <li>• The school has obtained all necessary employee clearances, including criminal background and tuberculosis (TB) risk assessments/clearances, prior to employment, and keeps all clearances current</li> <li>• The school has obtained all necessary vendor clearances, including criminal background and tuberculosis (TB) risk assessments/clearances, prior to the provision of service, and keeps all clearances current</li> <li>• The school has conducted volunteer clearances in accordance with applicable law and policy, including criminal background clearances for all volunteers who perform school site services while not under the direct supervision of a school employee, and tuberculosis (TB) risk assessments/clearances for all volunteers with frequent or prolonged contact with students</li> </ul>		
	<b>Rubric</b>	<b>Sources of Evidence</b>
<b>Performance</b>	<ul style="list-style-type: none"> <li><input type="checkbox"/> The school has fully implemented and continually monitors systems and procedures that maintain 100% compliance with all applicable law, including but not limited to clearance, credentialing, and assignment requirements at all times</li> <li><input checked="" type="checkbox"/> The school has implemented and monitors systems and procedures that maintain substantial compliance with applicable law, including but not limited to clearance, credentialing, and assignment requirements</li> <li><input type="checkbox"/> The school has partially implemented and intermittently monitors systems and procedures to maintain compliance with applicable law, including but not limited to clearance, credentialing, and assignment requirements</li> <li><input type="checkbox"/> The school has not implemented and/or does not monitor systems and procedures to maintain compliance with applicable law, including but not limited to clearance, credentialing, and assignment requirements</li> </ul>	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> <i>Certification of Clearances, Credentialing, and Mandated Reporter Training 2022-2023</i> form (“ESSA Grid”) <b>(B3A.1a)</b></li> <li><input checked="" type="checkbox"/> Human Resources (HR) policies and procedures regarding clearances and ESSA qualifications and credentialing requirements <b>(B1.13)</b></li> <li><input checked="" type="checkbox"/> Staff roster <b>(B3A.1b)</b></li> <li><input checked="" type="checkbox"/> School master schedule <b>(B3A.1c)</b></li> <li><input checked="" type="checkbox"/> Custodian(s) of Records documentation <b>(B3A.1d)</b></li> <li><input checked="" type="checkbox"/> Criminal Background Clearance Certifications <b>(B3A.2a, B3A.3, and B3A.4)</b></li> <li><input checked="" type="checkbox"/> Teaching credential/authorization documentation <b>(B3A.2b)</b></li> <li><input checked="" type="checkbox"/> Vendor clearances and credentialing certifications <b>(B3A.6)</b></li> <li><input checked="" type="checkbox"/> Volunteer (TB) risk assessment/clearance certification <b>(B3A.7)</b></li> <li><input checked="" type="checkbox"/> Discussion with school leadership</li> <li><input type="checkbox"/> Other: (Specify)</li> </ul>

**Progress on LAUSD Board of Education Benchmarks and/or MOU related to ORGANIZATIONAL MANAGEMENT (if applicable):**



BOARD OF EDUCATION REPORT 273 – 17/18 January 9, 2018

*BENCHMARK: The Charter School will submit an annual written report to the Charter Schools Division by December 1st of each year of the charter term that provides evidence and data of its community outreach efforts and outcomes to increase student diversity for its significant subgroups, which includes Latino, and Socioeconomically Disadvantaged, based on official student information.*

NOT MET: Ocean Charter's numerically significant subgroups, including Latino and SED, demonstrated the following student population percentages when comparing 2021-2022 to 2022-2023: Hispanic/Latino went from 26% to 24%; SED went from 20% to 17%.



Annual Performance-Based Oversight Visit Report

5962	2019-2020					2020-2021					2021-2022				
	Preliminary Budget	First Interim	Second Interim	Unaudited Actuals	Audited Financials	Preliminary Budget	First Interim	Second Interim	Unaudited Actuals	Audited Financials	Preliminary Budget	First Interim	Second Interim	Unaudited Actuals	Audited Financials
<b>Ocean Charter</b>															
Cash and Cash Equivalents		2,920,547	3,122,134	4,415,779	4,415,798		289,876	225,167	2,227,972	301,701		739,804	796,674	1,532,538	1,532,537
Current Assets		5,912,080	3,635,674	5,127,845	5,127,864		1,373,769	1,556,252	3,473,421	1,489,710		1,506,387	1,618,132	2,545,043	2,790,094
Fixed and Other Assets		74,763,644	73,308,919	72,914,379	72,914,379		78,080,994	78,705,349	77,345,938	58,484,926		77,608,963	56,832,464	56,448,486	56,448,486
<b>Total Assets</b>		80,675,724	76,944,592	78,042,224	78,042,243		79,454,763	80,261,601	80,819,359	59,974,636		79,115,350	58,450,596	58,993,529	59,238,580
<b>Deferred Outflows of Resources</b>		0	0	0	0		0	0	0	0		0	0	0	0
Current Liabilities		2,672,245	4,329,413	4,925,019	4,280,039		2,822,722	2,137,999	2,358,192	2,637,520		514,794	651,784	1,688,324	1,811,774
Other Long Term Liabilities		10,300,000	10,300,000	10,954,999	11,600,000		10,367,386	11,094,053	11,525,158	11,188,376		11,228,374	11,228,373	11,148,388	11,040,231
Unfunded OPEB Liabilities		0	0	0	0		0	0	0	0		0	0	0	0
<b>Total Liabilities</b>		12,972,245	14,629,414	15,880,018	15,880,039		13,190,108	13,232,052	13,883,350	13,825,896		11,743,168	11,880,157	12,836,712	12,852,005
<b>Deferred Inflows of Resources</b>		0	0	0	0		0	0	0	0		0	0	0	0
<b>Net Assets</b>		<b>67,703,479</b>	<b>62,315,179</b>	<b>62,162,206</b>	<b>62,162,204</b>		<b>66,264,655</b>	<b>67,029,549</b>	<b>66,936,009</b>	<b>46,148,740</b>		<b>67,372,182</b>	<b>46,570,439</b>	<b>46,156,817</b>	<b>46,386,575</b>
Total Revenues	34,633,182	31,811,220	26,445,280	25,886,236	26,134,410	12,718,692	11,754,793	11,938,334	10,964,728	11,154,446	6,278,769	8,289,016	8,189,056	7,635,139	8,632,643
Total Expenditures	6,010,943	6,013,501	6,035,862	5,629,790	5,877,966	6,431,328	7,652,344	7,070,989	6,190,925	6,390,573	7,735,266	7,842,910	7,767,356	7,627,061	8,394,808
<b>Net Income / (Loss)</b>	<b>28,622,239</b>	<b>25,797,719</b>	<b>20,409,418</b>	<b>20,256,446</b>	<b>20,256,444</b>	<b>6,287,364</b>	<b>4,102,449</b>	<b>4,867,345</b>	<b>4,773,803</b>	<b>4,763,873</b>	<b>(1,456,497)</b>	<b>446,106</b>	<b>421,700</b>	<b>8,078</b>	<b>237,835</b>
Operating Transfers In (Out) and Sources / Uses	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Extraordinary Item - Transfer of Net Assets	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Inc / (Dec) in Net Assets</b>	<b>28,622,239</b>	<b>25,797,719</b>	<b>20,409,418</b>	<b>20,256,446</b>	<b>20,256,444</b>	<b>6,287,364</b>	<b>4,102,449</b>	<b>4,867,345</b>	<b>4,773,803</b>	<b>4,763,873</b>	<b>(1,456,497)</b>	<b>446,106</b>	<b>421,700</b>	<b>8,078</b>	<b>237,835</b>
<b>Net Assets, Beginning</b>	<b>42,785,853</b>	<b>41,905,760</b>	<b>41,905,760</b>	<b>41,905,760</b>	<b>41,905,760</b>	<b>62,315,179</b>	<b>62,162,206</b>	<b>62,162,206</b>	<b>62,162,206</b>	<b>62,162,204</b>	<b>67,029,549</b>	<b>66,936,009</b>	<b>66,936,009</b>	<b>66,936,009</b>	<b>46,148,740</b>
Adj. for restatement / Prior Yr Adj	(3,780,089)	0	0	0	0	(1,796,430)	0	(2)	0	(20,777,337)	991,356	(9,933)	(20,787,270)	(20,787,270)	0
<b>Net Assets, Beginning, Adjusted</b>	<b>39,005,764</b>	<b>41,905,760</b>	<b>41,905,760</b>	<b>41,905,760</b>	<b>41,905,760</b>	<b>60,518,749</b>	<b>62,162,206</b>	<b>62,162,204</b>	<b>62,162,206</b>	<b>41,384,867</b>	<b>68,020,905</b>	<b>66,926,076</b>	<b>46,148,739</b>	<b>46,148,739</b>	<b>46,148,740</b>
<b>Net Assets, End</b>	<b>67,628,003</b>	<b>67,703,479</b>	<b>62,315,179</b>	<b>62,162,206</b>	<b>62,162,204</b>	<b>66,806,113</b>	<b>66,264,655</b>	<b>67,029,549</b>	<b>66,936,009</b>	<b>46,148,740</b>	<b>66,564,408</b>	<b>67,372,182</b>	<b>46,570,439</b>	<b>46,156,817</b>	<b>46,386,575</b>
Unrestricted Net Assets		3,239,836	3,043,002	730,817	62,162,204		(1,516,340)	140,538	66,936,009	46,148,740		67,372,182	46,548,126	46,156,817	46,386,575
Restricted Net Assets		64,463,644	59,272,177	61,431,389	0		67,780,995	66,889,011	0	0		0	22,313	0	0

5962	Audited Financials					2022-2023				
	2018-2019	2019-2020	2020-2021	2021-2022	2022-2023	Preliminary Budget	First Interim	Second Interim	Unaudited Actuals	Audited Financials
<b>Ocean Charter</b>										
Cash and Cash Equivalents	379,590	4,415,798	301,701	1,532,537	0		1,492,719	0	0	0
Current Assets	18,201,085	5,127,864	1,489,710	2,790,094	0		2,708,778	0	0	0
Fixed and Other Assets	52,794,820	72,914,379	58,484,926	56,448,486	0		54,997,749	0	0	0
<b>Total Assets</b>	<b>70,995,905</b>	<b>78,042,243</b>	<b>59,974,636</b>	<b>59,238,580</b>	<b>0</b>		<b>57,706,528</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Deferred Outflows of Resources</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Current Liabilities	18,790,145	4,280,039	2,637,520	1,811,774	0		1,798,600	0	0	0
Other Long Term Liabilities	10,300,000	11,600,000	11,188,376	11,040,231	0		10,880,468	0	0	0
Unfunded OPEB Liabilities	0	0	0	0	0		0	0	0	0
<b>Total Liabilities</b>	<b>29,090,145</b>	<b>15,880,039</b>	<b>13,825,896</b>	<b>12,852,005</b>	<b>0</b>		<b>12,679,068</b>	<b>0</b>	<b>0</b>	<b>0</b>
Deferred Inflows of Resources	0	0	0	0	0		0	0	0	0
<b>Net Assets</b>	<b>41,905,760</b>	<b>62,162,204</b>	<b>46,148,740</b>	<b>46,386,575</b>	<b>0</b>		<b>45,027,459</b>	<b>0</b>	<b>0</b>	<b>0</b>
Total Revenues	24,910,813	26,134,410	11,154,446	8,632,643	0	6,892,269	7,444,132	0	0	0
Total Expenditures	6,170,712	5,877,966	6,390,573	8,394,808	0	8,224,642	8,803,248	0	0	0
<b>Net Income / (Loss)</b>	<b>18,740,101</b>	<b>20,256,444</b>	<b>4,763,873</b>	<b>237,835</b>	<b>0</b>	<b>(1,332,373)</b>	<b>(1,359,116)</b>	<b>0</b>	<b>0</b>	<b>0</b>
Operating Transfers In (Out) and Sources / Uses	0	0	0	0	0	0	0	0	0	0
Extraordinary Item - Transfer of Net Assets	0	0	0	0	0	0	0	0	0	0
<b>Inc / (Dec) in Net Assets</b>	<b>18,740,101</b>	<b>20,256,444</b>	<b>4,763,873</b>	<b>237,835</b>	<b>0</b>	<b>(1,332,373)</b>	<b>(1,359,116)</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Net Assets, Beginning</b>	<b>23,165,659</b>	<b>41,905,760</b>	<b>62,162,204</b>	<b>46,148,740</b>	<b>0</b>	<b>46,570,439</b>	<b>46,156,817</b>	<b>0</b>	<b>0</b>	<b>0</b>
Adj. for restatement / Prior Yr Adj	0	0	(20,777,337)	0	0	154,812	229,758	0	0	0
<b>Net Assets, Beginning, Adjusted</b>	<b>23,165,659</b>	<b>41,905,760</b>	<b>41,384,867</b>	<b>46,148,740</b>	<b>0</b>	<b>46,725,251</b>	<b>46,386,575</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Net Assets, End</b>	<b>41,905,760</b>	<b>62,162,204</b>	<b>46,148,740</b>	<b>46,386,575</b>	<b>0</b>	<b>45,392,878</b>	<b>45,027,459</b>	<b>0</b>	<b>0</b>	<b>0</b>
Unrestricted Net Assets	24,716,034	62,162,204	46,148,740	46,386,575	0		32,926	0	0	0
Restricted Net Assets	17,189,726	0	0	0	0		44,994,534	0	0	0



**FISCAL OPERATIONS**

You have been assessed by the Fiscal Oversight team and you are receiving the rating of **3, Proficient**.

According to the CSD’s Fiscal Operations Rubrics, the highest fiscal rating that schools with audit findings reported in the most current independent audit report is a “2.” To earn a fiscal rating of a 4, schools must have the two most current audits that show no material weaknesses, deficiencies and/or findings, and no discrepancies cited in the Areas Noted for Further Growth and/or Improvement. An audit finding (a material weakness) was identified in Ocean Charter’s 2020-2021 independent audit report. Ocean Charter’s 2021-2022 independent audit report indicated that the school’s corrective action plan pertaining to this audit finding was implemented.

**Other circumstances and information could influence the rating and are noted in this evaluation.**

Ocean Charter’s fiscal condition is positive and has been positive since 2018-2019 fiscal year. According to the 2021-2022 independent audit report, the school had positive net assets of \$46,386,575 and net income of \$237,835. The 2022-2023 First Interim projected positive net assets of \$45,027,459 and a net loss of **(\$1,359,116)**.

**Financial Highlights**

	FINANCIAL HIGHLIGHTS				
	2018-2019 (Audited Actuals)	2019-2020 (Audited Actuals)	2020-2021 (Audited Actuals)	2021-2022 (Audited Actuals)	2022-2023 (First Interim)
<b>Ocean Charter</b>					
Net Assets	\$41,905,760*	\$62,162,204*	\$46,148,740*	\$46,386,575*	\$45,027,459
Net Income / (Loss)	\$18,740,101*	\$20,256,444*	\$4,763,873*	\$237,835*	<b>(\$1,359,116)***</b>
Transfers In / Out	\$0	\$0	\$0	\$0	\$0
Prior Year Adjustment(s)	\$0	\$0	<b>(\$20,777,337)**</b>	\$0	\$229,758
Cash and Cash Equivalents	\$379,590	\$4,415,798	\$301,701	\$1,532,537	\$1,492,719
Unrestricted Net Assets	\$24,716,034	\$62,162,204	\$46,148,740	\$46,386,575	\$32,926****
Norm Enrollment Reported by the School	525	521	568	546	552





	FINANCIAL RATIO ANALYSIS				
<b>Cash Reserve Level</b> (Cash Balance/Total Expenditures) <i>5% and greater is recommended</i>	6.15%	75.12%	4.72%	18.26%	16.96%
<b>Fund Reserve (Reserve for Economic Uncertainty)</b> (Unrestricted Net Assets /Total Expenditures) <i>3% - 5% and greater is recommended (depending on the school's ADA)</i>	400.54%	1057.55%	722.14%	552.56%	0.37%****
<b>Current Ratio (Working Capital Ratio)</b> (Current Assets/Current Liabilities) <i>At least 1.2 or 120% is recommended</i>	96.87%	119.81%	56.48%	154.00%	150.60%
<b>Debt Ratio</b> (Total Liabilities/Total Assets) <i>Lower than 1.0 or 100% is recommended</i>	40.97%	20.35%	23.05%	21.70%	21.97%

\* See **Item 9** in the **Notes Section I** below for further information regarding Ocean Charter’s Proposition 1D Grant.

\*\* See **Item 10** in the **Notes Section I** below for further information regarding the prior year adjustment of **(\$20,777,337)** reflected in Fiscal Year 2020-2021 audited actuals.

\*\*\* See **Item 11** in the **Notes Section I** below for further information regarding the projected net loss of **(\$1,359,116)** reflected in Fiscal Year 2022-2023 First Interim report.

\*\*\*\* See **Item 12** in the **Notes Section I** below for further information regarding the projected significant decrease in unrestricted net assets reflected in Fiscal Year 2022-2023 First Interim Report, which makes its projected fund reserve level of 0.37% to be below the recommended percentage.

**Areas of Demonstrated Strength and/or Progress:**

The school’s fiscal condition is positive. Please refer to the Financial Highlights table above. Ocean Charter’s 2021-2022 independent audit report indicated that the school’s corrective action plan pertaining to its audit finding reported in the school’s 2020-2021 audit report was implemented.

**Areas Noted for Further Growth and/or Improvement:**

No significant items noted.



**Other Observations (Items described in this section, which may not have been addressed in the charter school's Fiscal Policies and Procedures, are recommended for improvement to align with optimal business practices).**

**1. Charter School Facilities Program Close-out Performance Audit – School Facility Program Audit Finding:**

Ocean Charter received a Charter School Facilities Program Close-Out Performance Audit pertaining to Project No. 54/64733-00-073 (see further information regarding the audit objectives below). This audit report states: “The results of our tests indicated that, in all significant respects, Ocean Charter has properly accounted for the expenditures related to Charter School Facilities Program Project No. 54/64733-00-073 and that such expenditures were made for authorized purposes.” However, one exception (Finding #1) was noted regarding Restricted Maintenance Account requirement, which was addressed as of the writing of this report:

*“Procedure: #14*

*Objective: Verify the LEA [Local Education Agency] has (1) established a “Restricted Maintenance Account” for the exclusive purpose of providing ongoing and major maintenance of school buildings, (2) commencing fiscal year 2019-20 has deposited into the account a minimum of three percent (exception for small school districts – see information box below) of the LEA’s total general fund expenditures for the most recent fiscal year and prior fiscal years after receipt of funds including the fiscal year that it received funds, and (3) has developed an ongoing major maintenance plan that complies with and is implemented under the provisions of Education Code Section 17070.75 and 17070.77, and Grant Agreement Section D, Paragraph 3.*

*Finding/Outcome: The Charter did not deposit into the Restricted Maintenance Account a minimum of three percent of the LEA’s total expenditures for fiscal years 2019-20 and 2020-21. (Finding #1)*

*Site Related Action (OPSC [Office of Public School Construction] Action): N/A*

*All Other Ineligible Expenditures (CDE [California Department of Education] Action): N/A*

*Finding #1 – Restricted Maintenance Account Required Contribution*

*Finding: The Charter did not deposit into the Restricted Maintenance Account a minimum of three percent of the LEA's total expenditures for fiscal years 2019-20 and 2020-21. As of June 30, 2022, the Charter has deposited the cumulative amount for 2019-20 and 2020-21 to the Restricted Maintenance Account. (Emphasis added.)*

*Views of Responsible Officials: Ocean Charter School executed the Charter School Facilities Funding Agreement in December 2017. The agreement did not include a requirement to establish a maintenance reserve account or the need for 3% of total expenditures to be deposited every year into this reserve. The reserve requirement was brought to Ocean’s attention by the Site Project auditor, Christy White Associates (CWA), when Ocean engaged with CWA to perform the SFP audit. The requirement commenced in FY19-20 which was 3 years after the project had started and project agreements were executed. Ocean has established a Restricted Maintenance Account and deposited the required cumulative 3% of expenditures for fiscal years 19-20 and 20-21 as of fiscal year 21-22.”*



As of the issuance date of this Annual Performance-Based Oversight Visit Report, this finding did not result in questioned costs and the charter school has resolved the finding. The CSD will continue to monitor this issue through oversight.

## 2. Late Fees:

Based on the CSD's review of a sample of the school's check register and supporting documentation for the period spanning from December 2021 through November 2022, the CSD noted one of the selected items that referenced late fees of \$41.35 (Check #A006709, in the amount of \$2,718.45, dated 7/12/2022 and made payable to Frontier Communications). The issue of late fees was noted under "Other Observations" in the CSD's 2020-2021 and 2021-2022 Annual Performance-Based Oversight Visit Report. In response to the previous oversight report observations, the school had committed to addressing the mail delay issue that the school stated was caused by their move to the new facility, and to working with vendors regarding its payment terms.

In response to the CSD's current observations, Ocean Charter stated that they did not receive the invoice in the mail and that they contacted the vendor to send the invoice via email. Ocean Charter further stated that they promptly paid the bill upon receipt and that the issue did not occur again. Ocean Charter's Business Coordinator shared with the CSD that some of their vendors have now been switched to paperless statements and have been submitting invoices via email.

The CSD recommends that the school continue to implement procedures to track all recurring and non-recurring invoices and billing statements and ensure that all vendors are paid timely, to prevent the school from incurring late fees in the future.

## 3. Cash Handling Practices:

Based on CSD's review of a sample of the schools' bank transactions for the months of May 2022 through October 2022, the CSD noted that the school's cash deposit policy was updated and approved by the school's governing board on 10/6/2022, thereby removing the dollar threshold and frequency of deposits. Several checks ranging from 9/20/2022 to 10/20/2022 were listed on the deposit recap sheet that totaled \$43,829.73 were deposited on 10/21/2022. There was an issue of cash handling practices that was noted under "Other Observations" in the CSD's 2021-2022 Annual Performance-Based Oversight Visit Report where cash were deposited past the school's 72-hour deposit timeline per its then-Fiscal Policies and Procedures (FPP) dated 5/9/2021. In response to the previous oversight report observations, the school attributed the delay in depositing the checks due to the school's move to the new facility which caused a delayed mail collections.

Page 6: Revenue & Accounts Receivable, Deposits, of Ocean Charter FPP approved by the school's governing board on 5/9/2021 states: "Policy: The Business Manager is responsible for making bank deposits. Deposits totaling more than \$15,000 will be deposited within 72 hours."

On 10/6/2022, Ocean Charter's governing board approved the updated fiscal policies and procedures that removed the dollar threshold and frequency of the deposit. Page 6: Revenue & Accounts Receivable, Deposits, of Ocean Charter's FPP states: "Policy: The Business Coordinator is responsible for making bank deposits."

In response to the CSD's observations above, Ocean Charter stated: "As a yearly process, [Ocean Charter School] OCS' Finance Committee and Board of Trustees review and update our fiscal policies to ensure best practices. The Board approved FPP changes related to timelines of deposits and timelines generally were recommended by multiple sources, including our auditors and back office provider. The Finance Committee accepted the recommendations and shared them with the Board that then approved the updated FPPs to allow more time for processing."



The CSD shared information with Ocean Charter leaders the guidelines from Chapter 5 (“Cash Receipts and Deposits” section, beginning with page 80) of the 2022-23 Fiscal Crisis & Management Assistance Team (FCMAT) California Charter School Accounting and Best Practices Manual, which state: “Bank deposits should be made regularly, at least weekly. A procedure should be in place regarding how often they should be made. For example, if amounts collected during a week total less than \$500, the rule could be that the deposit should be made on the last business day of the week; when amounts collected on any day exceed \$500 in total, the rule could be that the deposit is made on that day. Funds should not be held over a long holiday.”

The CSD recommends that Ocean Charter re-incorporate guidance into its fiscal policies and procedures with a reasonable frequency and dollar threshold for cash/check deposits for the school, considering the aforementioned FCMAT guidelines, to prevent the mishandling of funds and safeguarding of funds received.

The CSD will review the recommended updates to the school’s fiscal policies and continue to monitor the issues referenced above in the “Other Observations” section prior to or during the next oversight visit. The results may be factored into the school’s rating for next year.

**Corrective Action Required:**

None noted that require immediate action to remedy concerns in this report.

**Notes:****I. Review of Fiscal Documentation**

1. Reviewed independent audit report for the Fiscal Year ended June 30, 2022, and noted the following:
  - a. Audit opinion: Unmodified/Unqualified.
  - b. Material weaknesses: None Reported.
  - c. Deficiencies/Findings: A material weakness was identified in Ocean Charter's 2020-2021 independent audit report relating to internal control over the year-end closing process. Ocean Charter's 2021-2022 independent audit report indicated that the school's corrective action plan was implemented.
  - d. Lack of a Going Concern: None Reported.
2. The 2021-2022 audited and unaudited actuals do not mirror each other. Ocean Charter provided the following explanations for the significant variances between Ocean Charter's 2021-2022 audited and 2021-2022 unaudited actuals:
  - a. A variance of \$245,051 in Current Assets was primarily due to the hold harmless revenue accrued by the auditor.
  - b. A variance of \$997,504 in Total Revenues was primarily due to the in-kind rent revenue, STRS on behalf payment, and hold harmless revenue accrued by the auditor.
  - c. A variance of \$767,747 in Total Expenditures was primarily due to the in-kind rent expense and STRS on behalf payment accrued by the auditor.
3. The school's reported Norm Enrollment was 525, 521, 568, 546, and 552 students for Fiscal Years 2018-2019, 2019-2020, 2020-2021, 2021-2022, and 2022-2023 respectively, representing an accumulated increase in enrollment of 27 students since Fiscal Year 2018-2019. The school's reported Norm Enrollment for Fiscal Year 2022-2023 is 552 students, which is 20 students (or approximately 3.76%) above its approved enrollment capacity per the operative charter (i.e., 532 students). The CSD has communicated with Ocean Charter to align its enrollment with the enrollment capacity identified in the approved charter. The CSD will continue to monitor the school's student enrollment through oversight.
4. A Segregation of Duties (SOD) review was conducted at Ocean Charter. No discrepancies were noted.
5. Reviewed 22 checks (and 15 electronic credit/debit transactions). The CSD's observations were noted under the Other Observations section above.
6. Reviewed bank statements and bank reconciliations from May 2022 through October 2022. Selected the months of May 2022, June 2022, and October 2022 for sample testing. The CSD's observations were noted under the Other Observations section above.
7. Reviewed 12 credit card statements from May 2022 through October 2022. Selected the months of June 2022 through September 2022 for sample testing. No discrepancies were noted.
8. Per the LAUSD Charter Schools Accounting Unit's record, as of December 31, 2022, Ocean Charter owed \$1,111,085 Proposition 39 over-allocated space reimbursement fees to LAUSD (consisting of \$415,134.72, \$364,575.75, and \$485,447.16 for Fiscal Years 2016-2017, 2017-2018, and 2018-2019, respectively, less payments of \$154,072). The school's 2021-2022 audit report disclosed: "On July 16, 2020, the Organization entered into an agreement with the District to fully reimburse the District for Proposition 39 over-allocated space during the 2016-17, 2017-18, and 2018-19 school years." The school indicated that it has authorized LAUSD to deduct the payment from the school's revenue account. The CSD will continue to monitor this matter through oversight.
9. Based on the school's 2020-2021 and 2021-2022 audit report (and, according to the school), during the fiscal year ended June 30, 2012, the Charter School was awarded \$7,543,549 in Charter School Facilities Program funding established by Proposition 1D (\*). During the fiscal year ended June 30, 2018, the Charter School was awarded an additional \$28,521,085 Facilities Program funding established by Proposition 1D. The balance of \$10,300,000 in long-term obligations represents one-half of the costs incurred for the Charter School's approved Proposition 1D project. The site improvements made under the



guidelines of the Proposition 1D award are being accounted for as building improvements. Current provisions allow charter schools to be on the title "in trust" through the State of California and the District, "in trust" being in name only with no property rights attached. Per Ocean Charter's 2021-2022 independent audit report, the balance of the school's long-term obligations (as of June 30, 2022) was \$11,040,231 (consisting of \$10,003,218 in Proposition 1D, less current portion, and \$1,037,013 in Notes payable, less current portion).

The provisions of Proposition 1D allow Ocean Charter to defer its loan payments until one year after it occupies its new school site. Per Ocean Charter, on January 9, 2018, the school initiated construction on its new school site, and was completed in April 2022 with the Final Notice of Completion recorded on April 28, 2022. Ocean Charter further stated that "the total construction cost of this project was \$77,000,000. [Out] of that total, \$20,277,475 was for land purchased and then deeded to LAUSD." Accordingly, Ocean Charter's repayment of its loan from the California School Finance Authority (CSFA) commenced in September 2022.

Per ExED, Ocean Charter received its fourth and final disbursement of augmentation funds in the amount of \$5.2M in August 2020, which brings the total augmentation funds received to date to \$41,357,427. Out of the \$56.9M construction contract budget, Ocean has spent/paid for \$56.1M in costs applied to the construction contract through April 2022. Ocean Charter currently has \$353,046 remaining in the budget from the augmentation grant to cover any remaining/final costs.

10. The **(\$20,777,337)** adjustment reflected in the school's 2020-2021 independent audit report (**\*\***) is due to the overstatement of property and equipment. A restatement of the financial statements was needed to correct the misstatement. Entries were posted to correct property and costs of issuance as well as the beginning balance.
11. According to Ocean Charter, the projected net loss of **(\$1,359,116)** reported in its 2022-2023 First Interim report (**\*\*\***) is primarily due to depreciation expenses of \$1.5M which is a non-cash expense arising from the considerable building costs recently incurred. Without depreciation expenses, the school projected net income would be positive.
12. As to the 0.37% reserve for economic uncertainty ratio reflected in the school's 2022-2023 First Interim report (**\*\*\*\***), Ocean Charter explains: "[T]he school received considerable grant dollars from the State and LAUSD which has been invested in a long term facility for sustainability. Total net assets of \$45M was offset by Net Investment in Capital Assets by nearly the same amount resulting in the \$33K of Unrestricted Net Assets. The ratio being under the required minimum is due to the high construction cost of the facility. Additionally, unrestricted net assets was reduced by the \$1.3M LAUSD overallocation penalty." Based on Ocean Charter's cashflow statements/projections provided as part of the 2022-2023 oversight, the school has sufficient cash for its ongoing operations. The CSD will continue to monitor through oversight.

## II. Review of 2022-2023 Fiscal Preparation Guide

1. Most current fiscal reports presented to the charter school's governing board: a) Balance Sheet; b) Income Statement (Statement of Activities), and c) Cash Flow Statement were provided.
2. Minutes of the meeting when the above fiscal reports (i.e., Items 1a., 1b., and 1c.) were presented to and approved by the charter school's governing board were provided.
3. Audit/fiscal reports issued by any public agency or third-party organizations, and the school's governing board minutes of all meetings reflecting the discussion of any of the fiscal reports and applicable audit findings resolution/corrective action were provided. The CSD's observations were noted under the Other Observations section above.
4. Minutes of the meeting when the 2022-2023 budget was adopted were provided.



5. Evidence of the charter school is offering STRS, PERS, Social Security, and/or any other benefits to its employees, and that this is done in a manner that is consistent with the charter terms and the Charter Schools Act (Education Code 47611) was provided.
6. Minutes of the meeting reflecting the selection of the independent auditor were provided.
7. Minutes of the meeting reflecting the discussion of the most current independent audit report were provided.
8. Minutes of the meeting reflecting the receipt, review, and discussion of the most current four interim financial reports (i.e., preliminary budget, first interim and second interim financial reports, and unaudited actuals) submitted to LAUSD were provided.
9. Minutes of the meeting reflecting the discussion and resolution of complaints received from staff or vendors were not provided as the charter school has indicated not applicable.
10. Minutes of the meeting reflecting the receipt, review, and discussion of the most current Annual Performance-Based Oversight Visit report (this does not apply to charter schools that were not in operation for the 2021-2022 school year) were provided.
11. A copy of the most current fiscal policies and procedures was provided.
12. Minutes of the meeting reflecting approval of the current fiscal policies and procedures and, if applicable, minutes of the meeting reflecting the approval of the current procurement policies and procedures were provided.
13. A copy of the charter school's organizational chart that depicts the current reporting structure of the charter school, including but not limited to, any board members, charter management organization (CMO) employees (when applicable), and/or school employees, who handle day-to-day finances and/or have responsibilities outlined within the charter school's fiscal policies and procedures was provided.
14. An itemized accounting regarding the total compensation paid in Fiscal Year 2021-2022 to all executives, school leaders, administrators, directors, and non-certificated staff, who may have decision-making authority over the charter school, either employed directly by the charter school or the entity managing the charter school was provided.
15. A description of the relationship (legal, business or otherwise) the charter school maintains with any related party as defined in Item 14 above was not provided as the charter school has indicated not applicable.
16. Copies of any and all of the most current signed and executed agreements/contracts within the last year (i.e., 2021-2022) or since the last update, whichever is later (including attachments and exhibits that accompany the agreements/contracts) with the administrative services provider and/or the back office services provider, or the charter school's operator and/or charter school's home office (e.g., management contracts, service agreements, license agreements, affiliation agreements, etc.) were provided.
17. Minutes of the meeting reflecting approval of the management fees, licensing fees, or any other fees were provided.
18. A copy of the sole statutory member's by-laws, and a copy of the sole statutory member's articles of incorporation were not provided as the charter school has indicated not applicable.
19. The most current accounts payable aging report, listing the balances owed, vendor names, invoice numbers, invoice dates, number of days outstanding, and, if applicable, explanations for 90+ days outstanding invoice(s) or in accordance with the charter school's fiscal policies and procedures was provided.
20. Check registers, or a list of all check/cash disbursements (when the school's payments are outsourced to a third-party provider), documenting all checks and electronic debit transactions for the prior 12 months (spanning from December 2021 to November 2022) were provided. The CSD's observations were noted under the Other Observations section above.
21. a) A list of all active credit card account(s), b) (If applicable) A list of all closed credit card account(s) within the last year, and c) All credit card statements for the most current six months (spanning from May 2022 to October 2022) were provided. No discrepancies were noted.
22. a) A list of all active school bank account(s), b) (If applicable) A list of all closed school bank account(s) within the last year, c) Monthly bank statements and reconciliation reports for the most current six months (spanning from May 2022 to October 2022), and d) (If applicable) A list of all debit cards were provided. The CSD's observations were noted under the Other Observations section above.



- 23. Student body financial records (including ASB policies and procedures, budgets, cash flow statements and projections, bank statements with reconciliations, audit reports, and other fiscal reports, if applicable) were not provided as the charter school has indicated not applicable.
- 24. The most current inventory listing for both capitalized and non-capitalized equipment, in Microsoft Excel format, including equipment description/type, brand/model, tag number, acquisition date, purchasing price, book value, asset life, and location of use was provided.
- 25. A link to the charter school’s website where the Education Protection Account (EPA) revenue and expenditures report pertaining to the prior fiscal year (i.e., 2021-2022) is posted (as required by Article XIII, Section 36, Subdivision (e), Paragraph (6) of the California Constitution) use was provided.
- 26. Links to the charter school’s website where the current (1) Audited Financial Statements, and (2) Local Control and Accountability Plan (LCAP) are posted (or the school’s assertion that its Audited Financial Statements are made available to members of the public) in accordance with Education Code section 47606.5(h) and/or LAUSD’s Charter School Transparency Resolution use were provided.
- 27. Documentation furnished to the CSD (e.g., signed and executed agreements, governing board meeting minutes approving the financial activities, etc.), the type of financing or borrowing (including but not limited to factoring of receivables, loan(s) or line(s) of credit with any third-party lender(s), intercompany transfer(s) and/or intercompany loan(s), terms, the effective date, the maturity date, and purposes.) were provided.
- 28. The charter school’s plan(s) for the purchase/new lease, relocation/expansion to new school site(s), facilities-related expansions, and/or major improvements to the existing and/or new school site(s) and pertinent documents with the following information, as appropriate were not provided as the charter school has indicated not applicable.
- 29. Disclosure of legal issues was not provided as the charter school has indicated not applicable.

**Progress on LAUSD Board of Education and/or MOU Benchmarks related to FISCAL OPERATIONS (if applicable):**

N/A





**Fiscal Operations Rubrics**

**Existing School** – a charter school that was/is in operation/active in the preceding school year(s) and the current school year. Existing schools may receive a rating of 1, 2, 3, or 4.

**New School** – a charter school that is in its first year of operation in the current school year and does not have an independent audit report for its first operative year on file with the Charter Schools Division. New schools are evaluated based on current year information. New schools may receive a rating of 1 or 2.

<p><b><i>An existing school that, at a minimum, meets all of the Required Criteria and at least four of the Supplemental Criteria listed below would be assessed eligible to be considered as <u>Accomplished [Rating of 4].</u></i></b></p>	<p><b><i>An existing school that, at a minimum, meets all of the Required Criteria and at least three of the Supplemental Criteria listed below would be assessed eligible to be considered as <u>Proficient [Rating of 3].</u></i></b></p>
<p><b><u>Existing Schools (based on the most current annual audit):</u></b></p> <p style="text-align: center;"><b><u>REQUIRED CRITERIA</u></b></p> <ol style="list-style-type: none"> <li>1. Net assets are positive in the prior two audits;</li> <li>2. The cash balance at the beginning of the school year is positive;</li> <li>3. The two most current annual independent audits show no material weaknesses, deficiencies, and/or findings;</li> <li>4. If applicable, federal, state, and other public agency audits/reviews (e.g., California State Teachers’ Retirement System (CalSTRS), California Public Employees’ Retirement System (CalPERS), Fiscal Crisis &amp; Management Assistance Team (FCMAT), United States Department of Education (USDE), California Department of Education (CDE), etc.), at the time of the oversight visit, show no outstanding material weaknesses, deficiencies, and/or findings;</li> <li>5. Vendors and staff are consistently paid in a timely manner;</li> <li>6. Governing board approves Fiscal Policies and Procedures, at a minimum, once prior to each charter renewal term;</li> <li>7. Charter school consistently adheres to the governing board-approved Fiscal Policies and Procedures;</li> <li>8. Governing board adopts the annual budget;</li> <li>9. Governing board reviews and/or discusses reports (e.g., first interim, second interim, unaudited actuals, audited financial statements, etc.) submitted to LAUSD;</li> <li>10. If applicable, governing board discusses and resolves audit exceptions and deficiencies to the satisfaction of LAUSD;</li> <li>11. There is no apparent conflict of interest;</li> <li>12. The Education Protection Account allocation and expenditures, audited financial statements, and the most current governing board-approved</li> </ol>	<p><b><u>Existing Schools (based on the most current annual audit):</u></b></p> <p style="text-align: center;"><b><u>REQUIRED CRITERIA</u></b></p> <ol style="list-style-type: none"> <li>1. Net assets are positive in the most current audit;</li> <li>2. The cash balance at the beginning of the school year is positive;</li> <li>3. The most current annual independent audit shows no material weaknesses, deficiencies and/or findings;</li> <li>4. If applicable, federal, state, and other public agency audits/reviews (e.g., CalSTRS, CalPERS, FCMAT, USDE, CDE, etc.), at the time of the oversight visit, show outstanding findings, and the school is able to demonstrate evidence of remedies in addressing these findings;</li> <li>5. Vendors and staff are generally paid in a timely manner;</li> <li>6. Governing board approves Fiscal Policies and Procedures, at a minimum, once prior to each charter renewal term;</li> <li>7. Charter school generally adheres to the governing board-approved Fiscal Policies and Procedures;</li> <li>8. Governing board adopts the annual budget;</li> <li>9. Governing board reviews and/or discusses reports (e.g., first interim, second interim, unaudited actuals, audited financial statements, etc.) submitted to LAUSD;</li> <li>10. If applicable, governing board discusses and resolves audit exceptions and deficiencies to the satisfaction of LAUSD;</li> <li>11. There is no apparent conflict of interest;</li> <li>12. The Education Protection Account allocation and expenditures, audited financial statements, and the most current governing board-approved LCAP are posted on the charter school’s website;</li> <li>13. The LCAP is submitted to the appropriate agencies;</li> </ol>



***An existing school that, at a minimum, meets all of the Required Criteria and at least four of the Supplemental Criteria listed below would be assessed eligible to be considered as Accomplished [Rating of 4].***

***An existing school that, at a minimum, meets all of the Required Criteria and at least three of the Supplemental Criteria listed below would be assessed eligible to be considered as Proficient [Rating of 3].***

- LCAP submitted to the appropriate agencies, are posted on the charter school's website;
- 13. The LCAP is submitted to the appropriate agencies;
- 14. Reasonable requests for information made by the Charter Schools Division and LAUSD are consistently processed or submitted by the charter school in a timely manner;
- 15. There are no discrepancies cited in the Areas Noted for Further Growth and/or Improvement;
- 16. If applicable (when audited and unaudited actuals do not mirror each other), adequate explanations are provided by the school for significant variances between audited and unaudited actuals, and there are no indications of the school's potential lack of internal controls over financial statements;
- 17. Proper segregation of duties is consistently in place;
- 18. If applicable, there are no outstanding fiscal-related tiered intervention notices issued to the school; or the school has no tiered intervention notices as of the oversight report issuance date; and
- 19. If applicable, all LAUSD Board of Education-approved fiscal benchmark(s) are fully met by the required deadline(s); or the school has no fiscal benchmarks in its current charter term.

- 14. Reasonable requests for information made by the Charter Schools Division and LAUSD are generally processed or submitted by the charter school in a timely manner;
- 15. There are no significant recurring issues cited in the Areas Noted for Further Growth and/or Improvement;
- 16. If applicable (when audited and unaudited actuals do not mirror each other), at least partial explanations are provided by the school for significant variances between audited and unaudited actuals, and there are no indications of the school's potential lack of internal controls over financial statements;
- 17. Proper segregation of duties is generally in place;
- 18. If applicable, the charter school is in the process of resolving outstanding fiscal issues cited in a Notice issued by the CSD as part of its tiered intervention process; and
- 19. If applicable, all LAUSD Board of Education-approved fiscal benchmark(s) are partially met by the required deadline(s).

Note: Other circumstances and information could influence the rating, which may include the supplemental criteria below, and will be noted in the evaluation.

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**SUPPLEMENTAL CRITERIA**

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- 1. The school maintains the minimum reserve for economic uncertainties as defined in California Code of Regulations (CCR), Title 5, Section 15450 (<https://www.law.cornell.edu/regulations/california/5-CCR-Sec-15450>) per the most current audit (i.e., unrestricted fund balance divided by total expenditures);
- 2. The cash balance at the beginning of the school year is at least 5% of the prior year's expenses;
- 3. Enrollment is stable or changing at a manageable rate (e.g., the school still maintains a balanced budget, etc.);

- 1. The school maintains the minimum reserve for economic uncertainties as defined in California Code of Regulations (CCR), Title 5, Section 15450 (<https://www.law.cornell.edu/regulations/california/5-CCR-Sec-15450>) per the most current audit (i.e., unrestricted fund balance divided by total expenditures);
- 2. The cash balance at the beginning of the school year is at least 5% of the prior year's expenses;
- 3. Enrollment is stable or changing at a manageable rate (e.g., the school still maintains a balanced budget, etc.);



<p><i>An existing school that, at a minimum, meets all of the Required Criteria and at least four of the Supplemental Criteria listed below would be assessed eligible to be considered as <b>Accomplished</b> [Rating of 4].</i></p>	<p><i>An existing school that, at a minimum, meets all of the Required Criteria and at least three of the Supplemental Criteria listed below would be assessed eligible to be considered as <b>Proficient</b> [Rating of 3].</i></p>
<ol style="list-style-type: none"> <li>4. The school maintains sound short-term financial viability (i.e., current ratio is recommended to be at least 1.2 or 120%);</li> <li>5. The school maintains sound long-term financial sustainability (i.e., debt ratio is recommended to be lower than 1.0 or 100%); and</li> <li>6. Fiscal reports (e.g., balance sheet, income statement, budget to actuals, cash flow statement, etc.) are presented to the governing board at governing board meetings.</li> </ol>	<ol style="list-style-type: none"> <li>4. The school maintains sound short-term financial viability (i.e., current ratio is recommended to be at least 1.2 or 120%);</li> <li>5. The school maintains sound long-term financial sustainability (i.e., debt ratio is recommended to be lower than 1.0 or 100%); and</li> <li>6. Fiscal reports (e.g., balance sheet, income statement, budget to actuals, cash flow statement, etc.) are presented to the governing board at governing board meetings.</li> </ol>

<p><i>An existing school that, at a minimum, meets all of the Required Criteria, and at least two of the Supplemental Criteria listed below would be assessed eligible to be considered as <b>Developing</b> [Rating of 2].</i></p>	<p><i>An existing school would be assessed as <b>Unsatisfactory</b> [Rating of 1] based on the statements below:</i></p>
<p style="text-align: center;"><b><u>REQUIRED CRITERIA</u></b></p> <ol style="list-style-type: none"> <li>1. Net Assets are positive in the most current audit; net assets are negative with strong trend towards becoming positive (be positive at the end of the third year per the applicable interim financials); or the school's financial condition fluctuates from year to year, with significant net losses, leading to negative net assets in the current fiscal year and/or the foreseeable future fiscal years;</li> <li>2. The cash balance at the beginning of the school year is positive; and</li> <li>3. Governing board approves Fiscal Policies and Procedures, at a minimum, once prior to each charter renewal term.</li> </ol> <p><u>Note:</u> Other circumstances and information could influence the rating, which may include the supplemental criteria below, and will be noted in the evaluation.</p> <p style="text-align: center;"><b><u>SUPPLEMENTAL CRITERIA</u></b></p> <ol style="list-style-type: none"> <li>1. The school maintains the minimum reserve for economic uncertainties as defined in California Code of Regulations (CCR),</li> </ol>	<p><i>An Unsatisfactory rating is assessed based on the following conditions, including, but not limited to:</i></p> <p>A charter school is assessed as Unsatisfactory if the charter school does not meet the criteria for <i>Accomplished</i>, <i>Proficient</i>, or <i>Developing</i>. The charter school was given a certain period of time to address the fiscal concerns of LAUSD, but failed to provide a satisfactory response. The charter school has shown no <i>feasible</i> financial plans, and/or immediate sources of funding to maintain a viable budget and/or sustain the school's operation to mitigate the negative fiscal condition. The charter school's governing board members and/or leadership lack fiscal capacity.</p> <p>Continued operation of a charter school that is assessed as Unsatisfactory may result to non-implementation of instructional programs as provided in the petition.</p> <p><u>Note:</u> Other circumstances and information could influence the rating and will be noted in the evaluation.</p>



<p><b><i>An existing school that, at a minimum, meets all of the Required Criteria, and at least two of the Supplemental Criteria listed below would be assessed eligible to be considered as <u>Developing</u> [Rating of 2].</i></b></p>	<p><b><i>An existing school would be assessed as <u>Unsatisfactory</u> [Rating of 1] based on the statements below:</i></b></p>
<p>Title 5, Section 15450 (<a href="https://www.law.cornell.edu/regulations/california/5-CCR-Sec-15450">https://www.law.cornell.edu/regulations/california/5-CCR-Sec-15450</a>) per the most current audit (i.e., unrestricted fund balance divided by total expenditures);</p> <ol style="list-style-type: none"> <li>2. The cash balance at the beginning of the school year is at least 5% of the prior year’s expenses;</li> <li>3. Enrollment is stable or changing at a manageable rate (e.g., the school still maintains a balanced budget, etc.);</li> <li>4. The school maintains sound short-term financial viability (i.e., current ratio is recommended to be at least 1.2 or 120%);</li> <li>5. The school maintains sound long-term financial sustainability (i.e., debt ratio is recommended to be lower than 1.0 or 100%); and</li> <li>6. Fiscal reports (e.g., balance sheet, income statement, budget to actuals, cash flow statement, etc.) are presented to the governing board at governing board meetings.</li> </ol>	

<p><b><i>A new school that, at a minimum, meets all of the Required Criteria listed below would be assessed eligible to be considered as <u>Developing</u> [Rating of 2].</i></b></p>	<p><b><i>A new school would be assessed as <u>Unsatisfactory</u> [Rating of 1] based on the statements below:</i></b></p>
<p><b><u>New Schools:</u></b></p> <p style="text-align: center;"><b><u>REQUIRED CRITERIA</u></b></p> <ol style="list-style-type: none"> <li>1. Interim reports and/or unaudited actuals project positive net assets;</li> <li>2. Projected debt, if any, is managed efficiently and will not cause the charter school to end the fiscal year with negative net assets. The non-profit organization is financially viable to support the charter school;</li> <li>3. If enrollment is significantly below the enrollment per the school’s <i>Pupil Estimates for New or Significantly Expanding Charters</i> report and/or its approved petition budget, the charter school has made significant adjustments in their operations to allow for the reduced income, and submitted a revised viable three-year budget and three-year cash flow projections;</li> </ol>	<p><b><u>New Schools:</u></b></p> <p><b><i>An Unsatisfactory rating is assessed based on the following conditions, including, but not limited to:</i></b></p> <p>A charter school is assessed as Unsatisfactory if the charter school does not meet the criteria for <i>Developing</i>. The charter school was given a certain period of time to address the fiscal concerns of LAUSD but failed to provide a satisfactory response. The charter school has shown no <i>feasible</i> financial plans, and/or immediate sources of funding to maintain a viable budget and/or sustain the school’s operation to mitigate the negative fiscal condition. The charter school’s governing board members and/or leadership lack fiscal capacity.</p> <p>Continued operation of a charter school that is assessed as Unsatisfactory may result to non-implementation of instructional programs as provided in the petition.</p>



*A new school that, at a minimum, meets all of the Required Criteria listed below would be assessed eligible to be considered as Developing [Rating of 2].*

- 4. Governing Board adopts the annual budget;
- 5. The governing board reviews and discusses the charter school's financial reports as evidenced by the governing board meeting minutes;
- 6. Reasonable requests for information made by the Charter Schools Division and LAUSD are processed or submitted by the charter school in a timely manner;
- 7. The most current governing board-approved LCAP is posted on the charter school's website; and
- 8. The LCAP is submitted to the appropriate agencies.

Note: Other circumstances and information could influence the rating and will be noted in the evaluation.

*A new school would be assessed as Unsatisfactory [Rating of 1] based on the statements below:*

Note: Other circumstances and information could influence the rating and will be noted in the evaluation.